

Unitary representations of reductive groups 1–5

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1. Why representations?

Fourier series
Finite-diml representations
Abstract harmonic analysis
Quadratic forms

2. Automorphic forms

Defining automorphic forms
Automorphic cohomology

3. Orbit method

Commuting algebras
Differential operator
algebras
Hamiltonian G -spaces

4. Classical limit

Associated varieties
Deformation quantization
Howe's wavefront set

5. (\mathfrak{g}, K) -modules

Case of $SL(2, \mathbb{R})$
Definition of
 (\mathfrak{g}, K) -modules
Harish-Chandra
algebraization theorems

Outline

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1. Examples and applications of representation theory
 - Fourier series
 - Finite-diml representations
 - Gelfand's abstract harmonic analysis
 - Quadratic forms and reps of $GL(n)$
2. Examples from automorphic forms
 - Defining automorphic forms
 - Automorphic cohomology
3. Kirillov-Kostant orbit method
 - Commuting algebras
 - Differential operator algebras: how orbit method works
 - Hamiltonian G -spaces: how Kostant does the orbit method
4. Classical limit: from group representations to symplectic geometry
 - Associated varieties
 - Deformation quantization
 - Howe's wavefront set
5. Harish-Chandra's (\mathfrak{g}, K) -modules
 - Case of $SL(2, \mathbb{R})$
 - Definition of (\mathfrak{g}, K) -modules
 - Harish-Chandra algebraization theorems

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How does symmetry inform mathematics (I)?

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Example. $\int_{-\pi}^{\pi} \sin^5(t) dt = ?$ Zero!

Principle: group G acts on vector space V ;
decompose V using G ; study each piece.

Here $G = \{1, -1\}$ acts on $V =$ **functions on \mathbb{R}** ;
pieces are even and odd functions.

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How does symmetry inform mathematics (II)?

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Example. Temp distn $T(t, \theta)$ on hot ring governed by

$$\partial T / \partial t = c^2 \partial^2 T / \partial \theta^2, \quad T(0, \theta) = T_0(\theta).$$

Too hard for (algebraist) to solve; so look at special initial conditions with **rotational (almost) symmetry**:

$$T(0, \theta) = a_0/2 + a_m \cos(m\theta).$$

Diff eqn is symmetric, so hope soln is symmetric:

$$T(t, \theta) \stackrel{?}{=} a_0(t)/2 + a_m(t) \cos(m\theta).$$

Leads to ORDINARY differential equations

$$da_0/dt = 0, \quad da_m/dt = -c^2 m^2 a_m.$$

These are well-suited to an algebraist:

$$T(t, \theta) = a_0/2 + a_m e^{-c^2 \cdot m^2 t} \cos(m\theta).$$

Generalize: **Fourier series expansion** of initial temp. . .

Principle: group G acts on vector space V ; **decompose V** ; study pieces separately. Here $G =$ **rotations of ring** acts on $V =$ **functions on ring**; decomposition is by **frequency**.

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What's so good about sin and cos?

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What's "cos($m\theta$) is almost rotationally symmetric" mean?

If $f(\theta)$ any function on the circle ($f(\theta + 2\pi) = f(\theta)$), define rotation of f by ϕ to be new function $[\rho(\phi)f](\theta) = f(\theta - \phi)$.

Rotationally symm. $\stackrel{\text{def}}{=} \text{unchgd by rotation} \stackrel{\text{def}}{=} \text{constant}$.

$$c_m(\theta) \stackrel{\text{def}}{=} \cos(m\theta), \quad s_m(\theta) \stackrel{\text{def}}{=} \sin(m\theta).$$

$$\begin{aligned} [\rho(\phi)c_m](\theta) &= c_m(\theta - \phi) = \cos(m\theta - m\phi) \\ &= \cos(m\theta)\cos(m\phi) + \sin(m\theta)\sin(m\phi). \\ &= [\cos(m\phi)c_m + \sin(m\phi)s_m](\theta). \end{aligned}$$

Rotation of c_m is a linear combination of c_m and s_m :
"almost rotationally symmetric."

Similar calculation for sin shows that

$$\rho(\phi) \begin{pmatrix} c_m \\ s_m \end{pmatrix} = \begin{pmatrix} \cos(m\phi) & \sin(m\phi) \\ -\sin(m\phi) & \cos(m\phi) \end{pmatrix} \begin{pmatrix} c_m \\ s_m \end{pmatrix}.$$

HARD transcendental rotation \rightsquigarrow **EASY** linear algebra!

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In which we meet the hero of our story...

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$$\rho(\phi) \begin{pmatrix} C_m \\ S_m \end{pmatrix} = \begin{pmatrix} \cos(m\phi) & \sin(m\phi) \\ -\sin(m\phi) & \cos(m\phi) \end{pmatrix} \begin{pmatrix} C_m \\ S_m \end{pmatrix}.$$

Definition

A **representation** of a group G on a vector space V is a **group homomorphism**

$$\rho: G \rightarrow GL(V).$$

Equiv: **action** of G on V by linear transformations.

Equiv (if $V = \mathbb{C}^n$): each $g \in G \rightsquigarrow n \times n$ matrix $\rho(g)$,

$$\rho(gh) = \rho(g)\rho(h), \quad \rho(e) = I_n.$$

HARD questions about G , (nonlinear) actions \rightsquigarrow
EASY linear algebra!

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How does symmetry inform math (III)?

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First two examples involved easy **abelian** G ; usually understood without groups.

Fourier series provide a nice basis $\{\cos(m\theta), \sin(m\theta)\}$ for functions on the circle S^1 .

What analogues are possible on the sphere S^2 ?

$G = O(3)$ = group of 3×3 real orthogonal matrices, the **distance-preserving linear transformations** of \mathbb{R}^3 .

V = functions on S^2 .

Seek small subspaces of V preserved by $O(3)$.

Example. $V_0 = \langle 1 \rangle$ = **constant functions**; 1-diml.

Example. $V_1 = \langle x, y, z \rangle$ = **linear functions**; 3-diml.

Example. $V_2 = \langle x^2, xy, \dots, z^2 \rangle$ = **quad fns**; 6-diml.

Problem: $x^2 + y^2 + z^2 = 1$ on S^2 : so $V_2 \supset V_0$.

Example. $V_m = \langle x^m, \dots, z^m \rangle$ = **deg m polys**;
 $\binom{m+2}{2}$ -diml.

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Polynomials and the group $O(3)$

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$$\underbrace{S(\mathbb{R}^3)}_{\text{poly fns}} = \underbrace{V_0}_{\substack{\text{constants} \\ \dim=1}} + \underbrace{V_1}_{\substack{\text{linear} \\ \dim=3}} + \cdots + \underbrace{V_m}_{\substack{\text{degree } m \\ \dim=\binom{m+2}{2}}} + \cdots$$

Want to understand **restriction** of these functions to

$$S^2 = \{(x, y, z) \mid r^2 = 1\} \quad (r^2 = x^2 + y^2 + z^2).$$

Algebraic geometry point of view (Q for *quotient*):

$$\text{nice fns on } S^2 =_{\text{def}} Q(S^2) = S(\mathbb{R}^3)/\langle r^2 - 1 \rangle.$$

To study polynomials with finite-dimensional linear algebra, use the increasing filtration $S^{\leq m}(\mathbb{R}^3)$; get

$$Q^{\leq m}(S^2) = S^{\leq m}(\mathbb{R}^3)/\langle r^2 - 1 \rangle S^{\leq m-2}(\mathbb{R}^3).$$

$$S^{\leq m}(\mathbb{R}^3)/S^{\leq m-1}(\mathbb{R}^3) \simeq V_m,$$

$$Q^{\leq m}(S^2)/Q^{\leq m-1}(S^2) \simeq V_m/\langle r^2 \rangle V_{m-2}.$$

$O(3)$ has rep on $V_m/r^2 V_{m-2}$, $\dim = \binom{m+2}{2} - \binom{m}{2} = 2m + 1$;
sum over m gives all (polynomial) fns on S^2 .

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Polynomials and the group $O(3)$ (reprise)

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$$\underbrace{S(\mathbb{R}^3)}_{\text{poly fns}} = \underbrace{V_0}_{\text{constants}} + \underbrace{V_1}_{\text{linear}} + \cdots + \underbrace{V_m}_{\text{degree } m} + \cdots$$

Want to understand **restriction** of these functions to S^2 .

Analysis point of view $\Delta = \partial^2/\partial x^2 + \partial^2/\partial y^2 + \partial^2/\partial z^2$.

nice fns on $S^2 =$ initial conditions for diff eq $\Delta F = 0$.

$$V_{m-2} \begin{array}{c} \xrightarrow{\cdot r^2} \\ \xleftarrow{\Delta} \end{array} V_m; \quad H_m =_{\text{def}} \ker(\Delta|_{V_m}).$$

Proposition

H_m is a complement for $r^2 V_{m-2}$ in V_m . Consequently

$$V_m/r^2 V_{m-2} \simeq H_m, \quad (O(3) \text{ rep of dim} = 2m + 1).$$

$$V_m = H_m \oplus r^2 H_{m-2} \oplus r^4 H_{m-4} + \cdots$$

functions on $S^2 \simeq H_0 \oplus H_1 \oplus H_2 \oplus \cdots$

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Fourier series on S^2

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Abstract representation theory: group $O(3)$ has **two irr reps of each odd dim $2m + 1$** , namely

$H_m =$ harmonic polys of deg $m \simeq V_m/r^2 V_{m-2}$,
and $H_m \otimes \epsilon$; here

$$\epsilon: O(3) \rightarrow \{\pm 1\} \subset GL(1), \quad \text{sgn}(g) =_{\text{def}} \text{sgn}(\det(g)).$$

Schur's lemma: any invariant Hermitian pairing

$$\langle, \rangle: E \times F \rightarrow \mathbb{C}$$

between distinct irreducible representations of a compact group G must be **zero**. Consequence:

subspaces $H_m \subset L^2(S^2)$ are **orthogonal**.

Stone-Weierstrass: $\text{span}(H_m)$ *dense* in $L^2(S^2)$.

Proposition

$L^2(S^2)$ is Hilbert space sum of the $2m + 1$ -diml subspaces H_m of harmonic polys of degree m .

$$f \in L^2(S^2) \rightarrow f_m \in H_m, \quad f = \sum_{m=0}^{\infty} f_m.$$

Fourier coeff f_m in $2m + 1$ -diml $O(3)$ rep.

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Gelfand's abstract harmonic analysis

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Topological grp G acts on X , have **questions about X** .

Step 1. Attach to X Hilbert space \mathcal{H} (e.g. $L^2(X)$).

Questions about X \rightsquigarrow questions about \mathcal{H} .

Step 2. Find finest G -eqvt decomp $\mathcal{H} = \bigoplus_{\alpha} \mathcal{H}_{\alpha}$.

Questions about \mathcal{H} \rightsquigarrow questions about each \mathcal{H}_{α} .

Each \mathcal{H}_{α} is **irreducible unitary representation of G** :
indecomposable action of G on a Hilbert space.

Step 3. Understand $\widehat{G}_u =$ all irreducible unitary
representations of G : **unitary dual problem**.

Step 4. Answers about irr reps \rightsquigarrow **answers about X** .

Topic for these lectures: **Step 3 for Lie group G** .

Mackey theory (normal subgps) \rightsquigarrow case **G reductive**.

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Making everything noncompact

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Examples so far have compact spaces, groups. . .

$$\begin{aligned} D &= \text{pos def quad forms in } n \text{ vars} \\ &= n \times n \text{ real symm matrices, eigenvalues } > 0 \\ &= GL(n, \mathbb{R})/O(n). \end{aligned}$$

(invertible $n \times n$ real matrices modulo subgroup of orthogonal matrices.

$GL(n, \mathbb{R})$ acts on D by change of variables. In matrix realization, $g \cdot A = gA^t g$. Action is transitive; isotropy group at I_n is $O(n)$.

$C(D) = \text{cont fns on } D$, $[\lambda(g)f](x) = f(g^{-1} \cdot x)$ ($g \in GL(n, \mathbb{R})$);
inf-diml rep of $G \iff$ action of G on D .

Seek (minimal = irreducible) $GL(n, \mathbb{R})$ -invt subspaces inside $C(D)$, use them to “decompose” $L^2(D)$.

(V, ρ) any rep of $G = GL(n, \mathbb{R})$; write $K = O(n)$.

$$\begin{aligned} T \in \text{Hom}_G(V, C(D)) &\simeq \text{Hom}_K(V, \mathbb{C}) = K\text{-fixed lin fnls on } V \ni \tau, \\ [T(v)](gK) &= \tau(\rho(g^{-1}v)). \end{aligned}$$

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Study D by representation theory

$$G = GL(n, \mathbb{R}), \quad K = O(n)$$

$D =$ positive definite quadratic forms,

$$\text{Hom}_G(V, C(D)) \simeq \text{K-fixed linear functionals on } V.$$

So seek to construct (irreducible) reps of G having **nonzero K-fixed linear functionals**.

Idea from Borel-Weil theorem for compact groups:

irr reps \leftrightarrow secs of line bdles on flag mflds.

Complete flag in m -diml E is chain of subspaces

$$\mathcal{F} = \{0 = F_0 \subset F_1 \subset \dots \subset F_m = E\}, \quad \dim F_i = i.$$

Define $X(\mathbb{R}) =$ complete flags in \mathbb{R}^n . Group G acts **transitively** on flags. Base point of $X(\mathbb{R})$ is std flag

$$\mathcal{F}^0 = \{\mathbb{R}^0 \subset \mathbb{R}^1 \subset \dots \subset \mathbb{R}^n\}, \quad G^{\mathcal{F}^0} = B,$$

B group of **upper triangular matrices**. Hence $X(\mathbb{R}) \simeq G/B$.

Get rep of G on $V = C(X(\mathbb{R}))$ (functions on flags); has **K-fixed lin fnl $\tau =$ integration over $X(\mathbb{R})$** . Get **embedding**

$$T: V \hookrightarrow C(D), \quad [Tv](gK) = \int_{x \in X(\mathbb{R})} v(g \cdot x) dx.$$

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Study D by rep theory (continued)

$$G = GL(n, \mathbb{R}), \quad K = O(n), \quad B = \text{upper } \Delta$$

$$D = \text{pos def quad forms} \simeq G/K,$$

$$X(\mathbb{R}) = \text{complete flags in } \mathbb{R}^n \simeq G/B$$

Found **embedding**

$$T: C(X(\mathbb{R})) \hookrightarrow C(D), \quad [Tv](gK) = \int_{x \in X(\mathbb{R})} v(g \cdot x) dx.$$

To generalize, use **G -eqvt real line bdle \mathcal{L}_i** on $X(\mathbb{R})$,
 $1 \leq i \leq n$; fiber at \mathcal{F} is F_i/F_{i-1} .

$$\mathbb{R}^\times \ni t \rightsquigarrow |t|^\nu \text{sgn}(t)^\epsilon \in \mathbb{C}^\times \quad (\text{any } \nu \in \mathbb{C}, \epsilon \in \mathbb{Z}/2\mathbb{Z});$$

Similarly get **G -eqvt cplx line bdle $\mathcal{L}^{\nu, \epsilon} = \mathcal{L}_1^{\nu_1, \epsilon_1} \otimes \dots \otimes \mathcal{L}_n^{\nu_n, \epsilon_n}$** .

$$V^{\nu, \epsilon} = C(X(\mathbb{R}), \mathcal{L}^{\nu, \epsilon}) = \text{continuous sections of } \mathcal{L}^{\nu, \epsilon}$$

family of reps $\rho^{\nu, \epsilon}$ of G : index n cplx numbers, n “parities.”

This is what “all” reps of “all” G look like; study more!

Case all $\epsilon_j = 0$: can make sense of

$$T^\nu: V^{\nu, 0} \rightarrow C(D), \quad [T^\nu v](gK) = \int_{x \in X(\mathbb{R})} v(g \cdot x) dx.$$

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Study D directly

$$G = GL(n, \mathbb{R}), \quad K = O(n)$$

D = positive definite quadratic forms.

Seek (minimal = irreducible) $GL(n, \mathbb{R})$ -invt subspaces inside $C(D)$, use them to “decompose” $L^2(D)$.

If G acts on functions, how do you find invt subspaces?

Look at this in third lecture. For now, two ideas...

Can **scale** pos def quad forms (mult by nonzero pos real):

$$\begin{aligned} C(D) \supset C^{\lambda_1}(D) &= \text{fns homog of degree } \lambda_1 \in \mathbb{C}. \\ &= \{f \in C(D) \mid f(tx) = t^{\lambda_1} f(x) \quad (t \in \mathbb{R}^+, x \in D)\} \\ &= \{f \in C(D) \mid \Delta_1 f = \lambda_1 f\}, \end{aligned}$$

$\Delta_1 =$ Euler degree operator $= \sum_j x_j \partial / \partial x_j$.

D has G -invt Riemannian structure and therefore Laplace operator Δ_2 commuting with G .

$$\begin{aligned} C(D) \supset C^{\lambda_2}(D) &= \lambda_2\text{-eigenspace of } \Delta_2 \\ &= \{f \in C(D) \mid \Delta_2 f = \lambda_2 f \quad (\lambda_2 \in \mathbb{C})\}. \end{aligned}$$

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Study D directly (continued)

$$G = GL(n, \mathbb{R}), \quad K = O(n)$$

$D =$ positive definite quadratic forms.

Seek (minimal = irreducible) $GL(n, \mathbb{R})$ -invt subspaces.

So far: found **eigenspaces** of two G -invt diff ops (Euler degree op Δ_1 , Laplace op Δ_2)

Theorem (Harish-Chandra, Helgason)

Algebra \mathcal{D}^G of G -invt diff ops on D is a (comm) poly ring, gens $\{\Delta_1, \Delta_2, \dots, \Delta_n\}$, $\deg(\Delta_j) = j$.

Get nice G -invt spaces of (analytic) functions

$$\begin{aligned} C(D) \supset C^\lambda(D) &= \text{joint eigenspace of all } \Delta_j \\ &= \{f \in C(D) \mid \Delta_j f = \lambda_j f \quad (1 \leq j \leq n)\}. \end{aligned}$$

Relation to rep-theoretic approach: had

$$T^\nu: V^{\nu,0} \rightarrow C(D), \quad [T^\nu v](gK) = \int_{x \in X(\mathbb{R})} v(g \cdot x) dx$$

Here $V^\nu =$ secs of bundle on flag variety $X(\mathbb{R})$; each V^ν maps to one eigenspace $\lambda(\nu)$.

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What's so great about automorphic forms?

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Arithmetic questions (about ratl solns of poly eqns) hard: lack tools from **analysis** and **geometry**).

Cure: embed **arithmetic** questions in **real** ones. . .

Arithmetic: **cardinality of $\{(p, q) \in \mathbb{Z}^2 \mid p^2 + q^2 \leq N\}$** ?

Geom: **area of $\{(p, q) \in \mathbb{R}^2 \mid p^2 + q^2 \leq N\}$** ? Ans: $N\pi$.

Conclusion: answer to arithmetic question is " $N\pi +$ small error."
Error $O(N^{131/416+\epsilon})$ (Huxley 2003); conjecturally $N^{1/4+\epsilon}$.

Similarly: **counting solns of arithmetic eqns mod p^n** \leftrightarrow **analytic/geometric problems over \mathbb{Q}_p** .

Model example: relationship among \mathbb{Z} , \mathbb{R} , **circle**.

Algebraic/counting problems live on \mathbb{Z} ; **analysis** lives on \mathbb{R} ; **geometry** lives on circle \mathbb{R}/\mathbb{Z} .

Automorphic forms provide parallel interaction among arithmetic, analysis, geometry.

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What's so great about automorphic forms

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Theorem

Write $\mathbb{A} = \mathbb{R} \times \prod'_p \mathbb{Q}_p$ (restricted product). Then \mathbb{A} is locally compact topological ring containing \mathbb{Q} as a discrete subring, and \mathbb{A}/\mathbb{Q} is compact.

Corollary

1. $GL(n, \mathbb{A}) = GL(n, \mathbb{R}) \times \prod'_p GL(n, \mathbb{Q}_p)$ is loc cpt grp.
2. $GL(n, \mathbb{Q})$ is a discrete subgroup.
3. Quotient space $GL(n, \mathbb{A})/GL(n, \mathbb{Q})$ is nearly compact.

Conclusion: the space $GL(n, \mathbb{Q}) \backslash GL(n, \mathbb{A})$ is a convenient place to relate arithmetic and analytic questions.

$\mathcal{A}(n)$ = automorphic forms on $GL(n) =$ functions on $GL(n, \mathbb{Q}) \backslash GL(n, \mathbb{A})$ (+ technical growth conds).

Vector space $\mathcal{A}(n)$ is a representation of $GL(n, \mathbb{A})$.

Irr constituents of $\mathcal{A}(n)$ are automorphic representations; carry information about arithmetic.

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What's that mean really???

David Vogan

$K = O(n) \times \prod_p GL(n, \mathbb{Z}_p)$ is compact subgroup of
 $GL(n, \mathbb{A}) = GL(n, \mathbb{R}) \times \prod'_p GL(n, \mathbb{Q}_p)$.

Since representation theory for compact groups is nice,
can look only at “almost K -invt” automorphic forms.

$$A(n)^K = \text{fns on } GL(n, \mathbb{Q}) \backslash GL(n, \mathbb{A}) / K.$$

Easy:

$$\begin{aligned} GL(n, \mathbb{Q}) \backslash GL(n, \mathbb{A}) / K &\supset GL(n, \mathbb{Z}) \backslash GL(n, \mathbb{R}) / O(n) \\ &= GL(n, \mathbb{Z}) \backslash D \\ &= GL(n, \mathbb{Z}) \backslash \text{pos def forms} \\ &= \{(\text{rk } n \text{ lattice, } \mathbb{R}\text{-val pos def form})\} / \sim \end{aligned}$$

Conclusion: **automorphic form on $GL(n) \approx$ fn on isom classes of [rank n lattice w pos def \mathbb{R} -valued form].**

More general automorphic forms:

$$\begin{aligned} GL(n, \mathbb{Z}_p) &\rightsquigarrow \text{open subgp} & GL(n, \mathbb{Z}) &\rightsquigarrow \text{cong subgp } \Gamma \\ O(n)\text{-invt} &\rightsquigarrow \text{rep } E \text{ of } O(n) & \text{fns on } \Gamma \backslash D &\rightsquigarrow \text{secs of } \mathcal{E} \rightarrow \Gamma \backslash D \end{aligned}$$

G reductive group defined over \mathbb{Q} : replace $GL(n,$ by $G($.

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What representation theory can tell you (I)

David Vogan

Automorphic forms $\mathcal{A}(n)$ for $GL(n)$...

Make “decomposition” as in Gelfand’s abstract program

$$\mathcal{A}(n) = \int_{\pi \in \widehat{GL(n, \mathbb{A})}_U} V_\pi \otimes M(\pi, \mathcal{A}(n)).$$

V_π = rep space of π , M = multiplicity space.

Done by Langlands (1965).

$$\begin{aligned} K\text{-invt aut forms} &= \mathcal{A}(n)^K \\ &= \int_{\pi \in \widehat{GL(n, \mathbb{A})}_U} V_\pi^K \otimes M(\pi, \mathcal{A}(n)). \end{aligned}$$

Knowing **which unitary reps π can have $V_\pi^K \neq 0$** restricts K -invt automorphic forms.

Knowing **which unitary reps of $GL(n, \mathbb{R})$ can have $O(n)$ -fixed vectors** restricts $L^2(GL(n, \mathbb{Z}) \backslash D)$.

Questions answered (for $GL(n)$) by DV, Tadić in 1980s.

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What representation theory can tell you (II)

David Vogan

Example. X compact (arithmetic) locally symmetric manifold of dim 128; $\dim(H^{28}(X, \mathbb{C})) = ?$ **Eight!**

Same as H^{28} for compact globally symmetric space.

Generalize: $X = \Gamma \backslash G/K$, $H^p(X, \mathbb{C}) = H_{\text{cont}}^p(G, L^2(\Gamma \backslash G))$. Decomp L^2 :

$$L^2(\Gamma \backslash G) = \sum_{\pi \text{ irr rep of } G} m_{\pi}(\Gamma) \mathcal{H}_{\pi} \quad (m_{\pi} = \text{dim of some aut forms})$$

Deduce $H^p(X, \mathbb{C}) = \sum_{\pi} m_{\pi}(\Gamma) \cdot H_{\text{cont}}^p(G, \mathcal{H}_{\pi})$.

General principle: group G acts on vector space V ;
decompose V ; study pieces separately.

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Time for something serious

Today: **orbit method** for predicting what irreducible representations look like.

Can't emphasize enough how important this idea is.



David Vogan

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What the orbit method does

David Vogan

Gelfand's program says that to better understand problems involving Lie group G , should understand \widehat{G}_U , the set of equiv classes of irr unitary reps π of G .

Such π is homomorphism of G into group of unitary operators on (usually ∞ -diml) Hilbert space \mathcal{H}_π : seems **much more complicated** than G ; so what have we gained?

How should we think of an irr unitary representation?

Kirillov-Kostant idea: **philosophy of coadjoint orbits**...

irr unitary rep \longleftrightarrow coadjoint orbit,

orbit of G on dual vector space \mathfrak{g}_0^* of $\mathfrak{g}_0 = \text{Lie}(G)$.

Case of $GL(n)$: says unitary rep is more or less a **conj class of $n \times n$ matrices**.

Will explain what this statement means, why it is reasonable, and how one can try to prove it.

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Decomposing a representation

David Vogan

Given: interesting operators \mathcal{A} on Hilbert space \mathcal{H} .

Goal: decompose \mathcal{H} in \mathcal{A} -invt way.

Finite-dimensional case:

V/\mathbb{C} fin-diml, $\mathcal{A} \subset \text{End}(V)$ cplx semisimple algebra.

Classical (Wedderburn) structure theorem:

W_1, \dots, W_r list of all simple \mathcal{A} -modules; then

$$\mathcal{A} \simeq \text{End}(W_1) \times \dots \times \text{End}(W_r) \quad V \simeq m_1 W_1 + \dots + m_r W_r.$$

Positive integer m_i is *multiplicity* of W_i in V .

Slicker version: define *multiplicity space*

$M_i = \text{Hom}_{\mathcal{A}}(W_i, V)$; then $m_i = \dim M_i$, and

$$V \simeq M_1 \otimes W_1 + \dots + M_r \otimes W_r.$$

Slickest version: **COMMUTING ALGEBRAS...**

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Commuting algebras and all that

David Vogan

Theorem

\mathcal{A} = semisimple algebra of ops on fin-diml V as above;
define $\mathcal{Z} = \text{Cent}_{\text{End}(V)}(\mathcal{A})$, second semisimple alg of ops
on V .

1. Relation between \mathcal{A} and \mathcal{Z} is symmetric:

$$\mathcal{A} = \text{Cent}_{\text{End}(V)}(\mathcal{Z}).$$

2. There is a natural bijection between irr modules W_i
for \mathcal{A} and irr modules M_i for \mathcal{Z} , given by

$$M_i \simeq \text{Hom}_{\mathcal{A}}(W_i, V), \quad W_i \simeq \text{Hom}_{\mathcal{Z}}(M_i, V).$$

3. $V \simeq \sum_i M_i \otimes W_i$ as a module for $\mathcal{A} \times \mathcal{Z}$.

Example 1: finite G acts left and right on $V = \mathbb{C}[G]$.

Example 2: S_n and $GL(E)$ act on $V = T^n(E)$.

But those are stories for other days...

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A version for Lie algebras

David Vogan

Just to show that commuting algebra idea can be made to work. . . $\mathfrak{g} \supset \mathfrak{h}$ reductive in \mathfrak{g} .

$$\mathcal{A} =_{\text{def}} U(\mathfrak{h}), \quad \mathcal{Z} = \text{Cent}_{U(\mathfrak{g})}(\mathcal{A}) = U(\mathfrak{g})^{\mathfrak{h}}.$$

Fix $V = U(\mathfrak{g})$ -module. For (μ, E_{μ}) fin diml \mathfrak{h} -irr, set

$$M_{\mu} = \text{Hom}_{\mathcal{A}}(E_{\mu}, V) = \text{Hom}_{\mathfrak{h}}(E_{\mu}, V); \quad \text{then}$$

$$M_{\mu} \otimes E_{\mu} \hookrightarrow V \quad (\text{all copies of } \mu \text{ in } V);$$

and M_{μ} is \mathcal{Z} -module.

Theorem (Lepowsky-McCollum)

Suppose V irr for \mathfrak{g} , and action of \mathfrak{h} *locally finite*. Then

$$V = \sum_{\mu \text{ for } \mathfrak{h}} M_{\mu} \otimes E_{\mu}.$$

Each M_{μ} is an irreducible module for \mathcal{Z} ; and M_{μ} determines μ and V .

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Infinite-dimensional representations

David Vogan

Need framework to study ops on inf-diml V .

Dictionary

Fin-diml

\leftrightarrow

Inf-diml

finite-diml V

\leftrightarrow

$C^\infty(M)$

repn of G on V

\leftrightarrow

action of G on M

$\text{End}(V)$

\leftrightarrow

$\text{Diff}(M)$

$\mathcal{A} = \text{im}(\mathbb{C}[G]) \subset \text{End}(V)$

\leftrightarrow

$\mathcal{A} = \text{im}(U(\mathfrak{g})) \subset \text{Diff}(M)$

$\mathcal{Z} = \text{Cent}_{\text{End}(V)}(\mathcal{A})$

\leftrightarrow

$\mathcal{Z} = G\text{-inv}t \text{ diff ops}$

Suggests: $G\text{-irr } V \subset C^\infty(M) \rightsquigarrow$ simple modules E for $\text{Diff}(M)^G$, $V \rightsquigarrow \text{Hom}_{\text{Diff}(M)^G}(E, C^\infty(M))$.

Suggests: G action on $C^\infty(M)$ irr $\rightsquigarrow \text{Diff}(M)^G = \mathbb{C}$.

Not always true, but a good place to start.

Which differential operators commute with G ?

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Differential operators and symbols

David Vogan

$\text{Diff}_n(M)$ = diff operators of order $\leq n$.

Increasing filtration, $(\text{Diff}_p)(\text{Diff}_q) \subset \text{Diff}_{p+q}$.

Theorem (Symbol calculus)

1. *There is an isomorphism of graded algebras*

$$\sigma: \text{gr Diff}(M) \rightarrow \text{Poly}(T^*(M))$$

to fns on $T^(M)$ that are polynomial in fibers.*

- 2.

$$\sigma_n: \text{Diff}_n(M) / \text{Diff}_{n-1}(M) \rightarrow \text{Poly}^n(T^*(M)).$$

3. *Commutator of diff ops \rightsquigarrow Poisson bracket $\{, \}$ on $T^*(M)$: for $D \in \text{Diff}_p(M)$, $D' \in \text{Diff}_q(M)$,*

$$\sigma_{p+q-1}([D, D']) = \{\sigma_p(D), \sigma_q(D')\}.$$

Diff ops comm with $G \rightsquigarrow$ symbols Poisson-comm with \mathfrak{g} .

\rightsquigarrow : \implies is true, and \longleftarrow closer than you'd think.

Orig question **which diff ops commute with G ?** becomes
which functions on $T^*(M)$ Poisson-commute with \mathfrak{g} ?

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Poisson structure and Lie group actions

David Vogan

To find fns on $T^*(M)$ Poisson-comm w \mathfrak{g} , generalize...

Poisson manifold X has Lie bracket $\{, \}$ on $C^\infty(M)$, such that $\{f, \cdot\}$ is a derivation of $C^\infty(M)$. Poisson bracket on $T^*(M)$ is an example.

Bracket with $f \rightsquigarrow \xi_f \in \text{Vect}(X)$: $\xi_f(g) = \{f, g\}$.

Vector flds ξ_f called **Hamiltonian**; preserve $\{, \}$. Map $C^\infty(X) \rightarrow \text{Vect}(X)$, $f \mapsto \xi_f$ is Lie alg homomorphism.

G acts on mfld $X \rightsquigarrow$ Lie alg hom $\mathfrak{g} \rightarrow \text{Vect}(X)$, $Y \mapsto \xi_Y$.

Poisson X is **Hamiltonian G -space** if Lie alg action **lifts**

$$\begin{array}{ccc} & C^\infty(X, \mathbb{R}) & \\ \nearrow & \downarrow & \nearrow \\ \mathfrak{g}_0 & \rightarrow \text{Vect}(X) & Y \rightarrow \xi_Y \end{array}$$

A linear map $\mathfrak{g}_0 \rightarrow C^\infty(X, \mathbb{R})$ is the same thing as a smooth **moment map** $\mu: X \rightarrow \mathfrak{g}_0^*$.

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Poisson structure and invt diff operators

David Vogan

X Hamiltonian G -space, moment map $\mu: X \rightarrow \mathfrak{g}_0^*$
 G -eqvt map of Poisson mflds,

$$f_Y(x) = \langle \mu(x), Y \rangle \quad (Y \in \mathfrak{g}_0, x \in X).$$

$f \in C^\infty(X)$ Poisson-commutes with \mathfrak{g}_0

$$\iff \xi_Y f = 0, \quad (Y \in \mathfrak{g}_0)$$

$$\iff f \text{ constant on } G \text{ orbits on } X.$$

Only \mathbb{C} Poisson-comm with $\mathfrak{g}_0 \iff$ dense orbit on X .

Proves: dense orbit on $T^*(M) \implies \text{Diff}(M)^G = \mathbb{C}$.

Suggests: G irr on $C^\infty(M) \iff$ dense orbit on $T^*(M)$.

Suggests to a visionary: Irr reps of G correspond to homogeneous Hamiltonian G -spaces.

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Method of coadjoint orbits

David Vogan

Recall: Hamiltonian G -space X comes with (G -equivariant) moment map $\mu: X \rightarrow \mathfrak{g}_0^*$.

Kostant's theorem: **homogeneous Hamiltonian G -space = covering of G -orbit on \mathfrak{g}_0^* .**

Recall: commuting algebra formalism for diff operators suggests irreducible representations \leftrightarrow homogeneous Hamiltonian G -spaces.

Kirillov-Kostant **philosophy of coadjt orbits** suggests

$$\{\text{irr unitary reps of } G\} = \widehat{G}_U \leftrightarrow \mathfrak{g}_0^*/G. \quad (\star)$$

MORE PRECISELY... restrict right side to “admissible” orbits (integrality cond). Expect to find “almost all” of \widehat{G}_U : enough for interesting harmonic analysis.

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Evidence for orbit method

David Vogan

With the caveat about restricting to admissible orbits. . .

$$\widehat{G}_U \longleftrightarrow \mathfrak{g}^*/G. \quad (\star)$$

(\star) is true for G simply conn nilpotent (Kirillov).

(\star) is true for G type I solvable (Auslander-Kostant).

(\star) for algebraic G reduces to reductive G (Duflo).

Case of reductive G is still open.

Actually (\star) is false for connected nonabelian reductive G .

But there are still theorems close to (\star).

Two ways to do repn theory for reductive G :

1. start with coadjt orbit, look for repn. Hard: Lecture 5.
2. start with repn, look for coadjt orbit. Easy: Lecture 4.

Really need to do both things at once. Having started to do mathematics in the Ford administration, I find this challenging. (Gave up chewing gum at that time.)

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From \mathfrak{g} -modules to \mathfrak{g}^*

David Vogan

“Classical limit” direction of the orbit philosophy asks for a map (irr unitary reps) \rightsquigarrow orbits in \mathfrak{g}^* .

V rep of complex Lie alg \mathfrak{g} .

Assume V is finitely generated: exists fin diml $V_0 \subset V$ so that $U(\mathfrak{g})V_0 = V$.

Define increasing family of subspaces

$$V_0 \subset V_1 \subset V_2 \subset \cdots, V_m = U_m(\mathfrak{g})V_0.$$

$$V_m = \text{span of } Y_1 \cdot Y_2 \cdots Y_{m'} \cdot v_0, (v_0 \in V_0, Y_i \in \mathfrak{g}, m' \leq m).$$

Action of \mathfrak{g} gives $\mathfrak{g} \times V_m \rightarrow V_{m+1}$, $(Y, v_m) \mapsto Y \cdot v_m$, and therefore a well-defined map

$$\mathfrak{g} \times [V_m/V_{m-1}] \rightarrow [V_{m+1}/V_m], (Y, v_m + V_{m-1}) \mapsto Y \cdot v_m + V_m.$$

Actions of different elts of \mathfrak{g} commute; so $\text{gr } V$ is a graded $S(\mathfrak{g})$ -module generated by the fin-diml subspace V_0 .

Associated variety $\text{Ass}(V) = \text{supp}(\text{gr } V) \subset \mathfrak{g}^*$ (defined by commutative algebra).

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What's good about $\text{Ass}(V)$

David Vogan

V fin gen / $U(\mathfrak{g})$, $V_m = U_m(\mathfrak{g})V_0$, $\text{Ass}(V) = \text{supp}(\text{gr}(V))$.

Commutative algebra tells you many things:

1. $\dim V_m = p_V(m)$, is a polynomial function of m .
2. The degree d of p_V is $\dim(\text{Ass}(V))$. Define the **Gelfand-Kirillov dimension of V** to be $\text{Dim } V = d$.
3. $I_{\text{gr}} =_{\text{def}} \text{Ann}(\text{gr}(V)) \subset S(\mathfrak{g})$, graded ideal; then $d = \dim(S(\mathfrak{g})/I_{\text{gr}})$ (Krull dimension).
4. $I =_{\text{def}} \text{Ann}(V) \subset U(\mathfrak{g})$ 2-sided ideal; $\text{gr } I \subset I_{\text{gr}}$, **usually \neq** .

Example. $\mathfrak{g} = \text{span}(p, q, z)$, $[p, q] = z$, $[z, p] = [z, q] = 0$.

$$V = \mathbb{C}[x], \quad p \cdot f = df/dx, \quad q \cdot f = xf, \quad z \cdot f = f.$$

This is (irr) rep of \mathfrak{g} generated by $V_0 = \mathbb{C}$.

$V_m = \text{polys in } x \text{ of degree } \leq m, \quad \dim V_m = m + 1.$
 $\text{gr } V \simeq \mathbb{C}[x]; p \rightsquigarrow \text{mult by } x; q, z \rightsquigarrow \text{zero}; I_{\text{gr}} = \langle q, z \rangle \subset S(\mathfrak{g}).$

$I = \langle z - 1 \rangle, \quad U(\mathfrak{g})/I \simeq \text{Weyl algebra } \mathbb{C}[d/dx, x], \quad \text{gr } I = \langle z \rangle.$

$\text{Ass}(V) = \{\lambda \in \mathfrak{g}^* \mid \lambda(q) = \lambda(z) = 0\} \subset \text{supp}(\text{gr } I) = \{\lambda \mid \lambda(z) = 0\}.$

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What's bad about $\text{Ass}(V)$

For fin gen M over poly alg S , $I = \text{Ann}(M) \subset S$,

$$\text{Dim}(M) = \text{Dim } S/I, \quad \text{supp } M = \text{supp}(I).$$

For fin gen V over $U(\mathfrak{g})$, $I = \text{Ann}(V)$, $I_{\text{gr}} = \text{Ann}(\text{gr}(V))$,

$$\text{Dim}(V) = \text{Dim } S(\mathfrak{g})/I_{\text{gr}}, \quad \text{Ass}(V) = \text{supp}(I_{\text{gr}}), \quad \text{but} \\ \text{gr}(I) \subset I_{\text{gr}}, \quad \text{supp}(\text{gr } I) \supset \text{Ass}(V), \quad \text{Dim}(S(\mathfrak{g})/\text{gr } I) \geq \text{Dim}(V);$$

containments and inequalities generally strict.

Closely related and worse: even if V related to nice rep of G ,

$\text{Ass}(V)$ rarely preserved by G . Some good news...

Proposition

V fin gen $/U(\mathfrak{g})$ by V_0 , V_0 preserved by $\mathfrak{h} \subset \mathfrak{g} \implies \text{Ass}(V) \subset (\mathfrak{g}/\mathfrak{h})^*$
stable under coadjt action of H .

I 2-sided ideal in $U(\mathfrak{g}) \implies \text{Ass}(\text{gr } I)$ **G -stable**.

Ideal picture (correct for irr (\mathfrak{g}, K) -modules defined *infra*):

$$V = \text{irr } U(\mathfrak{g})\text{-module,}$$

$$I = \text{Ann}(V) = \text{2-sided prim ideal in } U(\mathfrak{g});$$

$$\text{Ass}(I) = \text{aff alg Hamilt. } G\text{-space,} \quad \dim \text{Ass}(I) = 2d;$$

$$\text{Ass}(V) = \text{coisotropic subvar of } X, \quad \dim \text{Ass}(V) = d.$$

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Deformation quantization and wishful thinking

David Vogan

Here is how orbit method might work for reductive groups.

$G(\mathbb{R})$ = real points of conn cplx reductive alg $G(\mathbb{C})$.

Start with $\mathcal{O}_0 \subset \mathfrak{g}_0^*$ coadjoint orbit for $G(\mathbb{R})$.

$$\mathcal{O}(\mathbb{C}) =_{\text{def}} G(\mathbb{C}) \cdot \mathcal{O}_0, \quad J_{\mathcal{O}} = \text{ideal of } \mathcal{O}(\mathbb{C}).$$

$\mathcal{O}_0 \subset \mathcal{O}(\mathbb{R})$ must be **open**, but may be **proper** subset.

Ring of functions $R_{\overline{\mathcal{O}}} = S(\mathfrak{g})/J_{\mathcal{O}}$ makes $\overline{\mathcal{O}}(\mathbb{C})$ affine alg Poisson variety, **Hamiltonian G -space**. (Better: **normalize** to slightly larger algebra $R(\mathcal{O}(\mathbb{C}))$.)

Simplify: $\mathcal{O}(\mathbb{C})$ **nilp**; equiv, $J_{\mathcal{O}}$ and $R_{\overline{\mathcal{O}}}$ **graded**:

$$R_{\overline{\mathcal{O}}} = \sum_{p \geq 0} R^p, \quad R^p \cdot R^q \subset R^{p+q}, \quad \{R^p, R^q\} \subset R^{p+q-1}.$$

G -eqvt deformation quantization of $\overline{\mathcal{O}}$ is filtered algebra $D = \cup_{p \geq 0} D_p$, $G(\mathbb{C})$ action by alg auts, symbol calculus

$$\sigma_p: D_p/D_{p-1} \xrightarrow{\sim} R^p$$

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What deformation quantization looks like

David Vogan

$R_{\mathcal{O}} = \sum_{p \geq 0} R^p$ graded ring of fns on cplx nilpotent coadjt orbit, D_p "corresponding" filtered algebra with $G(\mathbb{C})$ action.

Since $G(\mathbb{C})$ **reductive**, can choose **$G(\mathbb{C})$ -stable complement C^p** for D_{p-1} in D_p ; then $\sigma_p: C^p \xrightarrow{\sim} R^p$ must be **isom**, so have $G(\mathbb{C})$ -eqvt linear **isoms**

$$D_p = \sum_{q \leq p} C^q \xrightarrow{\sigma} \sum_{q \leq p} R^q, \quad D \xrightarrow{\sigma} R.$$

Mult in D defines via isom σ **new assoc product m** on R :

$$m: R \times R \rightarrow R, \quad m(r, s) = \sigma \left(\sigma^{-1}(r) \cdot \sigma^{-1}(s) \right).$$

Filtration on D implies that for $r \in R^p, s \in R^q$,

$$m(r, s) = \sum_{k=0}^{p+q} m_k(r, s), \quad m_k(r, s) \in R^{p+q-k}.$$

Proposition

$G(\mathbb{C})$ -eqvt deformation quantization of alg $R_{\mathcal{O}}$ (fns on a cplx nilp coadjt orbit) given by $G(\mathbb{C})$ -eqvt bilinear maps

$m_k: R^p \times R^q \rightarrow R^{p+q-k}$, subject to $m_0(r, s) = r \cdot s$,

$m_1(r, s) = \{r, s\}$, and the reqt that $\sum_{k=0}^{\infty} m_k$ is **assoc**.

OPEN PROBLEM: PROVE DEFORMATIONS EXIST.

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Why this is reasonable

David Vogan

$P(\mathbb{C}) \subset G(\mathbb{C})$ parabolic, $M(\mathbb{C}) = G(\mathbb{C})/P(\mathbb{C})$ proj alg.
 $G(\mathbb{C})$ has unique **open orbit** $\tilde{\mathcal{O}}(\mathbb{C}) \subset T^*M(\mathbb{C})$, which by Kostant must be **finite cover of nilp coadjt orbit** $\mathcal{O}(\mathbb{C})$:

$$\begin{array}{ccc} \tilde{\mathcal{O}}(\mathbb{C}) & \subset & T^*M(\mathbb{C}) \\ \downarrow \mu_{\mathcal{O}} & & \downarrow \mu \\ \mathcal{O}(\mathbb{C}) & \subset & \overline{\mathcal{O}(\mathbb{C})} \subset \mathfrak{g}^* \end{array}$$

$\mu_{\mathcal{O}}$ is finite cover; μ is proper surjection. Put

$$\begin{aligned} D &= \text{alg diff ops on } M(\mathbb{C}), & S &= \text{alg fns on } T^*M(\mathbb{C}) \\ R^{\text{norm}} &= \text{alg fns on } \mathcal{O}(\mathbb{C}), & R &= \text{alg fns on } \overline{\mathcal{O}(\mathbb{C})}. \end{aligned}$$

1. Symbol calculus provides **isom** $\text{gr } D \xrightarrow{\sigma} S$.
2. Restriction provides **isom** $S \simeq \text{alg fns on } \tilde{\mathcal{O}}(\mathbb{C})$.
3. $\mu_{\mathcal{O}}^*$ isom \Leftrightarrow cover triv $\Leftrightarrow \mu$ is **birational**.
4. Inclusion exhibits R^{norm} as **normalization** of R .

Conclusion (Borho-Jantzen): D is nice deformation quantization of $\mathcal{O}(\mathbb{C}) \Leftrightarrow \mu$ birational with normal image.

Always true for $GL(n)$.

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Simple complex facts

David Vogan

$G(\mathbb{C})$ cplx conn reductive alg, $\mathfrak{g} = \text{Lie}(G(\mathbb{C}))$.

$\mathfrak{h} \subset \mathfrak{b} = \mathfrak{h} + \mathfrak{n} \subset \mathfrak{g}$ Cartan and Borel subalgebras.

$X_s \in \mathfrak{g}$ *semisimple* if following equiv conds hold:

1. $\text{ad}(X_s)$ diagonalizable;
2. $\rho(X_s)$ diagonalizable, all $\rho: G(\mathbb{C}) \rightarrow GL(N, \mathbb{C})$ alg.
3. $G(\mathbb{C}) \cdot X_s$ is closed;
4. $G(\mathbb{C}) \cdot X_s$ meets \mathfrak{h} .
5. $G(\mathbb{C})^{X_s}$ is reductive.

$X_n \in \mathfrak{g}$ *nilpotent* if following equiv conds hold:

1. $\text{ad}(X_n)$ nilpotent *and* $X_n \in [\mathfrak{g}, \mathfrak{g}]$;
2. $\rho(X_n)$ nilpotent, all $\rho: G(\mathbb{C}) \rightarrow GL(N, \mathbb{C})$ alg.
3. $G(\mathbb{C}) \cdot X_n$ closed under dilation;
4. $G(\mathbb{C}) \cdot X_n$ meets \mathfrak{n} .

Jordan decomposition: every $X \in \mathfrak{g}$ is uniquely $X = X_s + X_n$ with X_s semisimple, X_n nilpotent, $[X_s, X_n] = 0$.

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Simple complex dual facts

David Vogan

$G(\mathbb{C})$ still cplx reductive, $\mathfrak{g}^* =$ complex dual space, Ad^* coadjoint action of $G(\mathbb{C})$.

There exists **symm Ad-inv form on \mathfrak{g}** ; equiv, $\mathfrak{g} \simeq \mathfrak{g}^*$, $\text{Ad} \simeq \text{Ad}^*$. Can use to transfer previous slide to \mathfrak{g}^* .

THIS IS ALWAYS A BAD IDEA: \mathfrak{g}^* is **different**.

$\lambda_s \in \mathfrak{g}^*$ **semisimple** if following equiv conds hold:

1. $G(\mathbb{C}) \cdot \lambda_s$ is closed;
2. $G(\mathbb{C})^{\lambda_s}$ is reductive.

$\lambda_n \in \mathfrak{g}^*$ **nilpotent** if following equiv conds hold:

1. $G(\mathbb{C}) \cdot \lambda_n$ closed under dilation;
2. λ_n vanishes on some Borel subalgebra of \mathfrak{g} .
3. For each $p \in S(\mathfrak{g})^{G(\mathbb{C})}$, $p(\lambda_n) = p(0)$.

Jordan decomposition: every $\lambda \in \mathfrak{g}^*$ is uniquely $\lambda = \lambda_s + \lambda_n$ with λ_s semisimple, λ_n nilpotent, and $\lambda_s + t\lambda_n \in G(\mathbb{C}) \cdot \lambda$ (all $t \in \mathbb{C}^\times$).

PROBLEM: extend these lists of equiv conds. Find analogue of Jacobson-Morozov for nilpotents in \mathfrak{g}^* .

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Back to associated varieties

David Vogan

$\mathfrak{Z}(\mathfrak{g}) = \text{center of } U(\mathfrak{g})$; at first \mathfrak{g} is arbitrary.

Definition

Rep (π, V) of \mathfrak{g} is *quasisimple* if $\pi(z) = \text{scalar}$, all $z \in \mathfrak{Z}(\mathfrak{g})$. Alg homomorphism $\chi_V: \mathfrak{Z}(\mathfrak{g}) \rightarrow \mathbb{C}$ is the *infinitesimal character of V* . Write $J_V = \ker(\chi_V)$, maximal ideal in $\mathfrak{Z}(\mathfrak{g})$.

Easy fact: **any irr V is quasisimple**, so $I_V = \text{Ann}(V) \supset J_V$, so $\text{gr } I_V \supset \text{gr } J_V$.

Another easy fact: $\text{gr } \mathfrak{Z}(\mathfrak{g}) = S(\mathfrak{g})^{G(\mathbb{C})}$.

So $\text{gr } J_V$ is graded maximal ideal in $S(\mathfrak{g})^{G(\mathbb{C})}$, so

$$\text{gr } I_V \supset \text{gr } J_V = \text{augmentation ideal in } S(\mathfrak{g})^{G(\mathbb{C})}.$$

$$\text{Ass}(V) \subset \text{Ass}(I_V) \subset \text{zeros of aug ideal in } S(\mathfrak{g})^{G(\mathbb{C})}.$$

Theorem

If V is fin gen quasisimple module for reductive \mathfrak{g} (in particular, if V *irreducible*), then $\text{Ass}(V)$ consists of *nilpotent* elts of \mathfrak{g}^* .

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Howe's wavefront set

David Vogan

... defined in Howe's beautiful paper, which you should read. Defined for **unitary** (π, \mathcal{H}_π) of Lie gp G ; def shows **$WF(\pi) \subset \mathfrak{g}_0^*$, closed cone preserved by coadjt action of G .** Definition involves wavefront sets of certain distributions T on G constructed using matrix coeffs of π .

If π is **quasisimple** (automatic for irr unitary π , by thm of Segal in Lec 5) then such T has **$(\partial(z) - \chi_\pi(z))T = 0$.**

Distribution on right above is smooth, so wavefront set is zero. Basic smoothness thm: **applying diff op D can decrease wavefront set only by zeros of $\sigma(D)$.**

So **$WF(T) \subset$ zeros of $\sigma(z)$, all $z \in \mathfrak{z}(\mathfrak{g})$ of pos deg:**

$$WF(\pi) \subset \text{zeros of augmentation ideal in } S(\mathfrak{g})^{G(\mathbb{C})}.$$

Same proof: **$WF(\pi) \subset \text{Ass}(\text{Ann}(\mathcal{H}_\pi))$.**

So $WF(\pi)$ gives **G -invt** subset of \mathfrak{g}_0^* sharing many props of $\text{Ass}(V_\pi) \overset{?}{\rightsquigarrow}$ **better** classical limit than $\text{Ass}(V_\pi)$.

But for reductive G , $WF(\pi)$, $\text{Ass}(V_\pi)$ **computable from each other** (Schmid-Vilonen); so pick by preference.

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Principal series revisited

David Vogan

Recall **complete flag** in m -diml vector space E is

$$\mathcal{F} = \{0 = F_0 \subset F_1 \subset \cdots \subset F_m = E\}, \quad \dim F_i = i.$$

Recall construction of **principal series representations**:

$$G = GL(n, k) \supset B = \text{upper triangular matrices}$$

$$X_n(k) = \text{complete flags in } k^n \simeq G/B.$$

Fixing n characters (group homomorphisms)

$\xi_j: k^\times \rightarrow \mathbb{C}^\times$ defines complex line bundle \mathcal{L}^ξ ;

$$V^\xi = \text{secs of } \mathcal{L}^\xi \simeq \{f: G \rightarrow \mathbb{C} \mid f(gb) = \xi(b)^{-1} f(g) \ (b \in B)\},$$

$$\xi \begin{pmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ 0 & b_{22} & \cdots & b_{2n} \\ & & \ddots & \\ 0 & 0 & \cdots & b_{nn} \end{pmatrix} = \xi_1(b_{11}) \xi_2(b_{22}) \cdots \xi_n(b_{nn}).$$

principal series rep of $GL(n, k)$ with param ξ .

Appropriate choice of topological vector space V^ξ
(continuous, smooth, $L^2 \dots$) depends on the problem.

$k = \mathbb{R}$: character ξ is $(\nu, \epsilon) \in \mathbb{C} \times \mathbb{Z}/2\mathbb{Z}$, $t \mapsto |t|^\nu \text{sgn}(t)^\epsilon$

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Principal series for $SL(2, \mathbb{R})$

David Vogan

Want to understand principal series reps for ($GL(2, \mathbb{R})$ restricted to) $SL(2, \mathbb{R})$. Helpful to use different picture

$W^{\nu, \epsilon} = \{f: (\mathbb{R}^2 - 0) \rightarrow \mathbb{C} \mid f(tx) = |t|^{-\nu} \text{sgn}(t)^\epsilon f(x)\}$,
functions on the plane **homog of degree $-(\nu, \epsilon)$** .

Exercise: $V^{(\nu_1, \nu_2)(\epsilon_1, \epsilon_2)}|_{SL(2, \mathbb{R})} \simeq W^{\nu_1 - \nu_2, \epsilon_1 - \epsilon_2}$.

Lie algs easier than Lie gps \rightsquigarrow write $\mathfrak{sl}(2, \mathbb{R})$ action, basis

$$D = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix},$$

$$[D, E] = 2E, \quad [D, F] = -2F, \quad [E, F] = D.$$

action on functions on \mathbb{R}^2 is by

$$D = -x_1 \frac{\partial}{\partial x_1} + x_2 \frac{\partial}{\partial x_2}, \quad E = -x_2 \frac{\partial}{\partial x_1}, \quad F = -x_1 \frac{\partial}{\partial x_2}.$$

Now want to restrict to **homogeneous** functions. . .

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Principal series for $SL(2, \mathbb{R})$ (continued)

David Vogan

Study homog fns on $\mathbb{R}^2 - 0$ by **restr to** $\{(\cos \theta, \sin \theta)\}$:

$$W^{\nu, \epsilon} \simeq \{w: S^1 \rightarrow \mathbb{C} \mid w(-s) = (-1)^\epsilon w(s)\}, \quad f(r, \theta) = r^{-\nu} w(\theta).$$

Compute Lie algebra action in polar coords using

$$\begin{aligned} \frac{\partial}{\partial x_1} &= -x_2 \frac{\partial}{\partial \theta} + x_1 \frac{\partial}{\partial r}, & \frac{\partial}{\partial x_2} &= x_1 \frac{\partial}{\partial \theta} + x_2 \frac{\partial}{\partial r}, \\ \frac{\partial}{\partial r} &= -\nu, & x_1 &= \cos \theta, & x_2 &= \sin \theta. \end{aligned}$$

Plug into formulas on preceding slide: get

$$\rho^\nu(D) = 2 \sin \theta \cos \theta \frac{\partial}{\partial \theta} + (-\cos^2 \theta + \sin^2 \theta) \nu,$$

$$\rho^\nu(E) = \sin^2 \theta \frac{\partial}{\partial \theta} + (-\cos \theta \sin \theta) \nu,$$

$$\rho^\nu(F) = -\cos^2 \theta \frac{\partial}{\partial \theta} + (-\cos \theta \sin \theta) \nu.$$

Hard to make sense of. Clear: family of reps **analytic** (actually linear) in complex parameter ν .

Big idea: see how properties change as function of ν .

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A more suitable basis

David Vogan

Have family $\rho^{\nu, \epsilon}$ of reps of $SL(2, \mathbb{R})$ defined on functions on S^1 of homogeneity (or parity) ϵ :

$$\rho^{\nu}(D) = 2 \sin \theta \cos \theta \frac{\partial}{\partial \theta} + (-\cos^2 \theta + \sin^2 \theta) \nu,$$

$$\rho^{\nu}(E) = \sin^2 \theta \frac{\partial}{\partial \theta} + (-\cos \theta \sin \theta) \nu,$$

$$\rho^{\nu}(F) = -\cos^2 \theta \frac{\partial}{\partial \theta} + (-\cos \theta \sin \theta) \nu.$$

Problem: $\{D, E, F\}$ adapted to wt vectors for diagonal Cartan subalgebra; rep $\rho^{\nu, \epsilon}$ has no such wt vectors.

But **rotation matrix** $E - F$ acts simply by $\partial/\partial\theta$.

Suggests **new basis** of the complexified Lie algebra:

$$H = -i(E - F), \quad X = \frac{1}{2}(D + iE + iF), \quad Y = \frac{1}{2}(D - iE - iF).$$

Same commutation relations as $D, E,$ and F

$$[H, X] = 2X, \quad [H, Y] = -2Y, \quad [X, Y] = H$$

but **complex conjugation is different**: $\bar{H} = -H, \bar{X} = Y.$

$$\rho^{\nu}(H) = \frac{1}{i} \frac{\partial}{\partial \theta}, \quad \rho^{\nu}(X) = \frac{e^{2i\theta}}{2i} \left(\frac{\partial}{\partial \theta} + i\nu \right), \quad \rho^{\nu}(Y) = \frac{-e^{-2i\theta}}{2i} \left(\frac{\partial}{\partial \theta} + i\nu \right).$$

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Matrices for principal series, bad news

David Vogan

Have family $\rho^{\nu, \epsilon}$ of reps of $SL(2, \mathbb{R})$ defined on functions on S^1 of homogeneity (or parity) ϵ :

$$\rho^{\nu}(H) = \frac{1}{i} \frac{\partial}{\partial \theta}, \quad \rho^{\nu}(X) = \frac{e^{2i\theta}}{2i} \left(\frac{\partial}{\partial \theta} + i\nu \right), \quad \rho^{\nu}(Y) = \frac{-e^{-2i\theta}}{2i} \left(\frac{\partial}{\partial \theta} + i\nu \right).$$

These ops act simply on basis $w_m(\cos \theta, \sin \theta) = e^{im\theta}$:

$$\rho^{\nu}(H)w_m = mw_m,$$

$$\rho^{\nu}(X)w_m = \frac{1}{2}(m + \nu)w_{m+2},$$

$$\rho^{\nu}(Y)w_m = \frac{1}{2}(-m + \nu)w_{m-2}.$$

Suggests reasonable function space to consider:

$$\begin{aligned} W^{\nu, \epsilon, K} &= \text{fns homog of deg } (\nu, \epsilon), \text{ finite under rotation} \\ &= \text{span}(\{w_m \mid m \equiv \epsilon \pmod{2}\}). \end{aligned}$$



Space $W^{\nu, \epsilon, K}$ has beautiful rep of \mathfrak{g} : irr for most ν , easy submods otherwise. **Not preserved by rep of $G = SL(2, \mathbb{R})$:** $\exp(A) \in G \rightsquigarrow \sum A^k/k! : A^k$ preserves $W^{\nu, \epsilon, K}$, sum need not.

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Structure of principal series: good news

Original question was action of $G = SL(2, \mathbb{R})$ on

$$W^{\nu, \epsilon, \infty} = \{f \in C^\infty(\mathbb{R}^2 - 0) \mid f \text{ homog of deg } -(\nu, \epsilon)\} :$$

what are the **closed G -invt** subspaces... ?

Found nice subspace $W^{\nu, \epsilon, K}$, explicit basis, explicit action of Lie algebra \rightsquigarrow easy to describe **\mathfrak{g} -invt** subspaces.

Theorem (Harish-Chandra tiny)

There is a one-to-one corr **closed G -invt** subspaces $S \subset W^{\nu, \epsilon, \infty}$ and **\mathfrak{g} -invt** subspaces $S^K \subset W^{\nu, \epsilon, K}$. Corr is $S \rightsquigarrow$ subspace of K -finite vectors, and $S^K \rightsquigarrow$ its closure:

$$S^K = \{s \in S \mid \dim \text{span}(\rho^{\nu, \epsilon}(SO(2))s) < \infty\}, \quad S = \overline{S^K}.$$

Content of thm: **closure carries \mathfrak{g} -invt to G -invt.**

Why this isn't obvious: $SO(2)$ acting by translation on $C^\infty(S^1)$.

Lie alg acts by $\frac{d}{d\theta}$, so closed subspace

$$E = \{f \in C^\infty(S^1) \mid f(\cos \theta, \sin \theta) = 0, \theta \in (-\pi/2, \pi/2) + 2\pi\mathbb{Z}\}$$

is preserved by $\mathfrak{so}(2)$; **not** preserved by rotation.

Reason: Taylor series for in $f \in E$ doesn't converge to f .

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Same formalism, general G

David Vogan

Lesson of $SL(2, \mathbb{R})$ princ series: vecs finite under $SO(2)$ have nice/comprehensible/meaningful Lie algebra action.

General structure theory: $G = G(\mathbb{R})$ real pts of conn reductive complex algebraic group \rightsquigarrow can embed

$$G \hookrightarrow GL(n, \mathbb{R}), \quad \text{stable by transpose,} \quad G/G_0 \text{ finite.}$$

Recall *polar decomposition*:

$$\begin{aligned} GL(n, \mathbb{R}) &= O(n) \times (\text{pos def symmetric matrices}) \\ &= O(n) \times \exp(\text{symmetric matrices}). \end{aligned}$$

Inherited by G as *Cartan decomposition for G* :

$$K = O(n) \cap G, \quad \mathfrak{s}_0 = \mathfrak{g}_0 \cap (\text{symm mats}), \quad S = \exp(\mathfrak{s}_0)$$

$$G = K \times S = K \times \exp(\mathfrak{s}_0).$$

(ρ, W) rep of G on *complete loc cvx* top vec W ;

$$W^K = \{w \in W \mid \dim \text{span}(\rho(K)w) < \infty\},$$

$$W^\infty = \{w \in W \mid G \rightarrow W, g \mapsto \rho(g)w \text{ smooth}\}.$$

Definition. The (\mathfrak{g}, K) -module of W is $W^{K, \infty}$. It is a representation of the Lie algebra \mathfrak{g} and of the group K .

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Category of (\mathfrak{h}, L) -modules

Setting: $\mathfrak{h} \supset \mathfrak{l}$ complex Lie algebras, L compact Lie group acting on \mathfrak{h} by Lie alg auts Ad .

Definition

An (\mathfrak{h}, L) -module is complex vector space W endowed with reps of \mathfrak{h} and of L , subject to following conds.

1. Each $w \in W$ belongs to fin-diml L -invt W_0 , such that action of L on W_0 **continuous** (hence smooth).
2. Complexified differential of L action is \mathfrak{l} action.
3. For $k \in L$, $Z \in \mathfrak{h}$, $w \in W$,
 $k \cdot (Z \cdot (k^{-1} \cdot w)) = [\text{Ad}(k)(Z)] \cdot w$.

Proposition

Passage to smooth K -finite vectors defines a functor

$$(reps\ of\ G\ on\ complete\ loc\ cvx\ W) \rightarrow (\mathfrak{g}, K)\text{-mods } W^{K, \infty}$$

David Vogan

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Representations and R -modules

David Vogan

Rings and modules familiar and powerful \rightsquigarrow try to make representation categories into module categories.

Category of reps of \mathfrak{h} = category of $U(\mathfrak{h})$ -modules.

Seek parallel for locally finite reps of compact L :

$$R(L) = \text{convolution alg of } \mathbb{C}\text{-valued } L\text{-finite msres on } L \\ \simeq \sum_{(\mu, E_\mu) \in \widehat{L}} \text{End}(E_\mu) \quad (\text{Peter-Weyl})$$



$1 \notin R(L)$ if L is infinite: convolution identity is delta function at $e \in L$; not L -finite.

$$\alpha \subset \widehat{L} \text{ finite } \rightsquigarrow 1_\alpha =_{\text{def}} \sum_{\mu \in \alpha} \text{Id}_\mu.$$

Elts 1_α are *approximate identity* in $R(L)$: for all $r \in R(L)$ there is $\alpha(r)$ finite so $1_\beta \cdot r = r \cdot 1_\beta = r$ if $\beta \supset \alpha(r)$.

$R(L)$ -module M is *approximately unital* if for all $m \in M$ there is $\alpha(m)$ finite so $1_\beta \cdot m = m$ if $\beta \supset \alpha(m)$.

Loc fin reps of L = approx unital $R(L)$ -modules.

If ring R has approx ident $\{1_\alpha\}_{\alpha \in S}$, write $R\text{-mod}$ for category of approx unital R -modules.

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Hecke algebras

Setting: $\mathfrak{h} \supset \mathfrak{l}$ complex Lie algebras, L compact Lie group acting on \mathfrak{h} by Lie alg auts Ad .

Definition

The **Hecke algebra** $R(\mathfrak{h}, L)$ is

$$R(\mathfrak{h}, L) = U(\mathfrak{h}) \otimes_{U(\mathfrak{l})} R(L) \\ \simeq [\text{conv alg of } L\text{-finite } U(\mathfrak{h})\text{-valued msres on } L] / [U(\mathfrak{l}) \text{ action}]$$

$R(\mathfrak{h}, L)$ inherits approx identity from subalg $R(L)$.

Proposition

Category of (\mathfrak{h}, L) -modules is category $R(\mathfrak{h}, L)$ -mod of approx unital modules for Hecke algebra $R(\mathfrak{h}, L)$.

Exercise: repeat with L cplx alg gp (not nec reductive).

Immediate corollary: **category of (\mathfrak{h}, L) -modules has projective resolutions**, so derived functors. . .

Lecture 7: use easy change-of-ring functors to construct (\mathfrak{g}, K) -modules.

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Group reps and Lie algebra reps

David Vogan

G real reductive alg $\supset K$ max cpt, $\mathfrak{Z}(\mathfrak{g}) = \text{center of } U(\mathfrak{g})$.

Definition

Rep (π, V) of G on complete loc cvx V is *quasisimple* if $\pi^\infty(z) = \text{scalar}$, all $z \in \mathfrak{Z}(\mathfrak{g})$. Alg hom $\chi_\pi: \mathfrak{Z}(\mathfrak{g}) \rightarrow \mathbb{C}$ is the *infinitesimal character* of π .

Make exactly same defn for (\mathfrak{g}, K) -modules.

Theorem (Segal, Harish-Chandra)

1. Any irr (\mathfrak{g}, K) -module is quasisimple.
2. Any irr *unitary* rep of G is quasisimple.
3. Suppose V quasisimple rep of G . Then $W \mapsto W^{K, \infty}$ is *bij* [closed $W \subset V$] and [$W^{K, \infty} \subset V^{K, \infty}$].
4. Correspondence (irr quasisimple reps of G) \rightsquigarrow (irr (\mathfrak{g}, K) -modules) is *surjective*. Fibers are *infinitesimal equiv classes* of irr quasisimple reps of G .

Non-quasisimple irr reps exist if G' noncompact (Soergel), but are “pathological;” unrelated to harmonic analysis.

Idea of proof: $G/K \simeq \mathfrak{g}_0$, vector space. Describe anything analytic on G by Taylor exp along K .

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