# On the double cover of split $F_4$ and its petite K-types

Alessandra Pantano joint work with Dan Barbasch

Palo Alto, July 2006

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem (find all unitary parameters)
- the petit unitarity problem (find some not-unitary parameters)
- $\bullet$  an informal definition of non-spherical petite K-types
- $\bullet$  a formal definition of non-spherical petite K-types
- applications to the unitary dual of the double cover of split  $F_4$

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of non-spherical petite K-types
- ullet a more technical definition of petite K-types
- applications to the unitary dual of the double cover of split  $F_4$

## The double cover of $F_4$

- G = the double cover of the split  $F_4$  ( $F_4 = G/\{\pm I\}$ )
- $\pi: G \to F_4 = G/\{\pm I\}$ , the projection
- $K = SP(1) \times SP(3)$
- Representations of K (classified by highest weight):  $\mu = (a_1|a_2, a_3, a_4)$ , with  $a_1 \geq 0$  and  $a_2 \geq a_3 \geq a_4 \geq 0$
- Genuine K-types (-I does not act trivially):  $\mu = (a_1|a_2, a_3, a_4)$ , with  $a_1 + a_2 + a_3 + a_4$  odd
- $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$ : Cartan decomposition of  $\mathfrak{g}$
- $\mathfrak{a}$ : maximal abelian subspace of  $\mathfrak{p}$ ,  $A = \exp(\mathfrak{a})$ ,  $M = Z_K(\mathfrak{a})$
- $\Delta^+ = \{2\epsilon_j; \, \epsilon_i \pm \epsilon_j; \epsilon_1 \pm \epsilon_2 \pm \epsilon_3 \pm \epsilon_4\}, \, \mathfrak{n} = \bigoplus_{\alpha \in \Delta^+} \mathfrak{g}_\alpha, \, N = \exp(\mathfrak{n})$

# **Notations**

For each root  $\alpha$ , we can choose a Lie algebra homomorphism

$$\phi_{\alpha} \colon \mathfrak{sl}(2,\mathbb{R}) \to \mathfrak{g}$$

such that

• 
$$Z_{\alpha} = \phi_{\alpha} \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$
 belongs to  $\mathfrak{t} = \text{Lie}(K)$ 

• 
$$\sigma_{\alpha} = \exp(\frac{\pi}{2}Z_{\alpha})$$
 belongs to  $M' = N_K(\mathfrak{a})$ , and

• 
$$\boxed{m_{\alpha}} = \exp(\pi Z_{\alpha})$$
 belongs to  $M = Z_K(\mathfrak{a})$ .

## Metaplectic Roots

Exponentiating  $\phi_{\alpha}$ , we obtain group homomorphisms

$$\widetilde{\Phi}_{\alpha} \colon \widetilde{SL}(2,\mathbb{R}) \to G \qquad \Phi_{\alpha} \colon SL(2,\mathbb{R}) \to G/\pm I = F_4.$$

The root  $\alpha$  is called metaplectic if  $\widetilde{\Phi}_{\alpha}$  does not factor to  $SL(2,\mathbb{R})$ .

only the long roots are metaplectic

#### Consequences:

- If  $\alpha$  is short, then  $m_{\alpha}$  has order two and is central in M
- If  $\alpha$  is long, then  $m_{\alpha}$  has order four and  $m_{\alpha}m_{\beta} = \pm m_{\beta}m_{\alpha}$
- If  $\alpha$  is short, the eigenvalues of  $d\mu(iZ_{\alpha})$  are integers  $\forall \mu \in \hat{K}$
- If  $\alpha$  is long, the eigenvalues of  $d\mu(iZ_{\alpha})$  are integers if  $\mu$  is not genuine, and half-integers if  $\mu$  is genuine.

# Fine *K*-types

Let  $\mu$  be an irreducible representation of K. Then

•  $\mu$  has level l if  $|\gamma| \leq l$ , for every eigenvalue  $\gamma$  of  $d\mu(iZ_{\alpha})$  and every root  $\alpha$ 

•  $\mu$  is fine if  $\mu$  has level 1 (or less)

There are 2 genuine fine K-types: (1|000) and (0|100) and 3 non-genuine fine K-types: (2|000), (1|100) and (0|000).

# The group M

The group  $M = Z_K(\mathfrak{a})$  is a finite group of order 32. Because  $\pi(M) = M/\{\pm I\}$  is abelian, the irreducible representations of M have dimension one or two.

There are 16 non-genuine linear characters, and 4 genuine two-dimensional irreducible representations.

The Weyl group acts on  $\hat{M}$ . The restrictions to M of a fine K-type is a single orbit, and every representation of M is contained in a unique fine K-type.

**Definition**: Fix  $\delta \in \hat{M}$ . A root  $\alpha$  is good for  $\delta$  if  $s_{\alpha}$  stabilizes  $\delta$ .

		orbit	dim.	$W(\delta)$	fine K-type
non-genuine	$\longrightarrow$	$\delta_0$	1	$W(F_4)$	(0 0,0,0)
				II. ( ( ( ) )	(2 0,0,0)
non-genuine	$\rightarrow$	$\delta_3$	$3 \times 1$	$W(C_4)$	(2 0,0,0)
non-genuine	$\longrightarrow$	$\delta_{12}$	$12 \times 1$	$W(B_3A_1)$	(1 1,0,0)
				( 3 1)	
genuine	$\longrightarrow$	$oxed{\delta_2}$	2	$W(F_4)$	(1 0,0,0)
genuine	$\longrightarrow$	$ \delta_6 $	$3 \times 2$	$W(B_4)$	(0 1,0,0)

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of petite K-types
- $\bullet$  a more technical definition of petite K-types
- applications to the unitary dual of the double cover of split  $F_4$

#### Langlands quotient

For every irreducible representation  $(\delta, V^{\delta})$  of M, and every strictly dominant real character  $\nu$ , we set

 $X_P(\delta, 
u)$  = the minimal principal series induced from  $\delta \otimes 
u$ 

 $X_P(\delta, \nu)$  = the unique irreducible composition factor of  $X_P(\delta, \nu)$  which contains the fine K-type  $\mu_{\delta}$  corresponding to  $\delta$ .

The Langlands quotient  $\bar{X}_P(\delta, \nu)$  can be obtained as the quotient of  $X_P(\delta, \nu)$  modulo the Kernel of an intertwining operator

$$A: X_P(\delta, \nu) \longrightarrow X_{\bar{P}}(\delta, \nu)$$

where  $\bar{P}$  is the opposite parabolic.

# The big unitarity problem

For every irreducible representation  $\delta$  of M, compute the set of unitary parameters

 $\{\nu \in \mathfrak{a} \cap \mathbb{R} : \nu \text{ is dominant and } \bar{X}_P(\delta, \nu) \text{ is unitary}\}$ 

To check the unitarity of  $\bar{X}_P(\delta, \nu)$ , we need to

- 1. construct an invariant Hermitian form on  $\bar{X}_P(\delta, \nu)$ , if possible
- 2. verify whether this Hermitian form is positive definite.

# Invariant Hermitian forms on $\bar{X}_P(\delta, \nu)$

The long Weyl group element of  $F_4$  ( $\omega = -Id$ ) carries  $\delta$  into  $\delta$  and  $\nu$  in  $-\nu$ . So we can use  $\omega$  to construct an *Hermitian* intertwining operator

$$A(\omega, \delta, \nu) \colon X_P(\delta, \nu) \to X_P(\delta, -\nu).$$

This operator gives a *non degenerate* invariant Hermitian form on the Langlands quotient.<sup>a</sup>

 $\bar{X}_P(\delta,\nu)$  is unitary if and only if  $A(\omega,\delta,\nu)$  is positive semidefinite.

<sup>&</sup>lt;sup>a</sup>Because  $\bar{X}_P(\delta, \nu)$  contains only one copy of the fine K-type  $\mu_\delta$  corresponding to  $\delta$ , we can normalize the operator by requiring that it acts trivially  $\mu_\delta$ . Then we obtain the *unique* non-degenerate invariant Hermitian form on  $\bar{X}_P(\delta, \nu)$ .

## Remarks

The big unitarity problem is too hard:

Computing the signature of the operator  $A(\omega, \delta, \nu)$  is extremely complicated, especially if the K-type is very big.

Moreover, we should check the signature on infinitely many K-types.

Instead, we look at the petit unitarity problem.

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of petite K-types
- $\bullet$  a more technical definition of petite K-types
- applications to the unitary dual of the double cover of split  $F_4$

# the petit unitarity problem

- find finitely many K-types (called "**petite**") on which it is easy to compute the signature of the intertwining operator
- use these petite K-types to rule out big regions of not-unitarity.<sup>a</sup>

<sup>&</sup>lt;sup>a</sup>The notion of spherical petite K-type is due to Vogan and Barbasch. We will present a generalization to the non-spherical case.

#### Spherical Petite K-Types

Let be  $\mu$  a spherical K-type, i.e. assume that  $Res_M(\mu)$  contains the trivial representation of M.

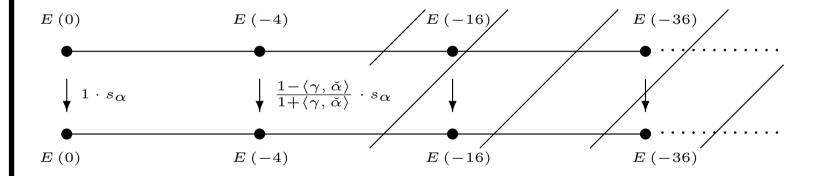
 $\mu$  is called **petite** if it has level  $\leq 3$ .

**Remark:** if  $\mu$  is a spherical petite K-type, then  $d\mu(Z_{\alpha}^2)$  acts on the isotypic component of the trivial representation of M with eigenvalues 0 or -4. This condition makes the intertwining operator on  $\mu$  "very special", and relatively easy to compute.

#### intertwining operator on spherical petite K-types

The intertwining operator has a decomposition as a product of operators corresponding to simple reflections.

The factor corresponding to  $\alpha$  acts by



#### Intertwining operator on spherical petite K-types

On a spherical petite K-type the intertwining operator behaves exactly like a p-adic operator.

Because the p-adic spherical unitary dual in known, this matching provides **non-unitarity certificates**.

We obtain an embedding of the real <u>spherical</u> unitary dual into the p-adic spherical unitary dual.

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of non-spherical petite K-types
- $\bullet$  a more technical definition of petite K-types
- applications to the unitary dual of the double cover of split  $F_4$

#### non-spherical petite *K*-types: an informal definition

To every non-trivial representation  $\delta$  of M, we associate a real linear group  $G_0$  (depending on  $\delta$ ).

A K-type  $\mu$  containing  $\delta$  is called "**petite for**  $\delta$ " if the non-spherical intertwining operator for G on  $\mu$  matches a spherical intertwining operator for  $G_0$  on some petite  $K_0$ -type  $\mu_0$ .

The spherical unitary dual of  $G_0$  is known, and is detected by a finite number of relevant  $K_0$ -types.

If we can match all the relevant  $K_0$ -types, then we obtain non-unitarity certificates for Langlands quotients of G:

 $\bar{X}^G(\delta, \nu)$  is unitary  $\Rightarrow \bar{X}^{G_0}(\text{triv}, \nu_0)$  is unitary.

the linear group  $G_0 = G_0(\delta)$ 

The Weyl group W of G acts on  $\hat{M}$  by

$$([\sigma] \cdot \tau)(m) = \tau(\sigma^{-1}m\sigma).$$

Let  $W(\delta) \subseteq W$  be the stabilizer of  $\delta$ .

It turns out that  $W(\delta)$  is the Weyl group of some root system  $\Delta_0$ .  $\Delta_0$  has the same rank as  $\Delta$ , and in general is not a sub-root system.

We define  $G_0$  to be

- the real split group with root system  $\Delta_0$  if  $\delta$  is non-genuine
- the real split group with root system  $\left| \check{\Delta_0} \right|$  if  $\delta$  is genuine.

 $G_0$  is always linear, and in general is not a subgroup of G.

		orbit-type	$\Delta_0$	linear group $G_0(\delta)$
non-genuine	$\longrightarrow$	$\delta_0$	$F_4$	$F_4$
non-genuine	$\longrightarrow$	$\delta_3$	$C_4$	SP(4)
non-genuine	$\longrightarrow$	$\delta_{12}$	$B_3A_1$	$SO(3,4) \times SL(2)$
genuine	$\longrightarrow$	$\delta_2$	$F_4$	$F_4$
genuine	$\longrightarrow$	$\delta_6$	$B_4$	SP(4)

If we have "enough" petite K-types for  $\delta$ , then we can relate the unitarity of a Langlands quotient of G induced from  $\delta$  to the unitarity of a Langlands quotient of  $G_0(\delta)$  induced from the trivial.

the spherical  $K_0$ -type  $\mu_0$ 

Suppose that there exists a spherical  $K_0$ -type  $\mu_0$  s.t.

- 1.  $\mu_0$  has level at most 3
- 2. as  $W(\delta)$ -representations

$$\text{Hom}_{M}(V^{\mu}, V^{\delta}) = \text{Hom}_{M_{0}}(V^{\mu_{0}}, V^{\delta_{0}}).$$

Then  $\mu$  is petite if and only if the intertwining operator for G on  $\mu$  matches an intertwining operator for  $G_0$  on  $\mu_0$ .

# Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of non-spherical petite K-types
- a more technical definition of petite *K*-types
- applications to the unitary dual of the double cover of split  $F_4$

#### non-spherical petite *K*-types: a more technical definition

Let  $\mu$  be a K-type containing  $\delta$ . If  $\mu$  is petite, the intertwining operator on  $\mu$  should have certain properties (...).

The intertwining operator acts on

$$\operatorname{Hom}_M(V^{\mu}, V^{\mu_{\delta}}) = \bigoplus_j \operatorname{Hom}_M(V^{\mu}, V^{\delta_j})$$

and depends on the eigenvalues of the  $d\mu(Z_{\alpha}^2)$ 's ( $\alpha$  simple) on the isotypic component in  $\mu$  of all the M-types  $\delta_j$  in the W-orbit of  $\delta$ .<sup>a</sup>

To define a petite K-type for  $\delta$ , we essentially need to impose some restrictions on the eigenvalues of the various  $Z_{\alpha}^2$ 's.

 $<sup>{}^{\</sup>mathrm{a}}\mu_{\delta}$  is the unique fine K-type containing  $\delta$ . Every M-type  $\delta_{j}$  in the W-orbit of  $\delta$  appears in  $\mu_{\delta}$  with multiplicity one:  $\mathrm{Res}_{M}(\mu_{\delta}) = \bigoplus_{j} \delta_{j}$ .

#### non-spherical petite K-types: a more technical definition

Let  $\mu$  be a K-type containing  $\delta$ . If  $\mu$  is petite, the intertwining operator on  $\mu$  should should have certain properties (...).

The intertwining operator acts on

$$\operatorname{Hom}_{M}(V^{\mu}, V^{\mu_{\delta}}) = \bigoplus_{j} \operatorname{Hom}_{M}(V^{\mu}, V^{\delta_{j}})$$

and depends on the eigenvalues of the various  $d\mu(Z_{\alpha}^2)$ 's on the isotypic component in  $\mu$  of the W-orbit of  $\delta$ .<sup>a</sup>

It is clear that the definition of petite K-type must be a restriction on these eigenvalues.

 $<sup>{}^{\</sup>mathrm{a}}\mu_{\delta}$  is the unique fine K-type containing  $\delta$ . Every M-type  $\delta_{j}$  in the W-orbit of  $\delta$  appears in  $\mu_{\delta}$  with multiplicity one:  $\mathrm{Res}_{M}(\mu_{\delta}) = \bigoplus_{j} \delta_{j}$ .

#### **Technicalities**

- The intertwining operator on  $\mu$  has a factorization as a product of operators  $R_{\mu}(s_{\alpha}, \gamma)$  corresponding to simple reflections.
- The action of a single factor  $R_{\mu}(s_{\alpha}, \gamma)$  does not respect the decomposition

$$\operatorname{Hom}_M(V^{\mu}, V^{\mu_{\delta}}) = \bigoplus_j \operatorname{Hom}_M(V^{\mu}, V^{\delta_j})$$

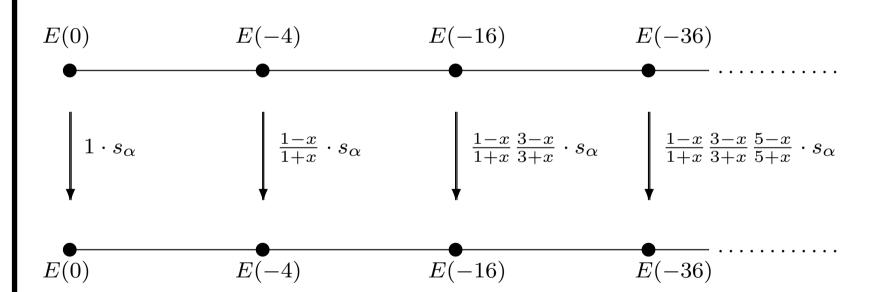
but preserves the decomposition of  $\operatorname{Hom}_M(V^{\mu}, V^{\mu_{\delta}})$  in eigenspaces of  $d\mu(Z_{\alpha}^2)$ :  $\operatorname{Hom}_M(V^{\mu}, V^{\mu_{\delta}}) = \bigoplus_{n \in \mathbb{N}/2} E(-n^2)$ .

•  $R_{\mu}(s_{\alpha}, \gamma)$  acts on the  $(-n^2)$ -eigenspace of  $d\mu(Z_{\alpha}^2)$  by

$$R_{\mu}(s_{\alpha}, \gamma)T(v) = \underbrace{c(\alpha, \gamma, n)}_{a \ scalar} \underbrace{\mu_{\delta}(\sigma_{\alpha})T(\mu(\sigma_{\alpha})^{-1}v)}_{a \ ction \ of \ s_{\alpha} \ on \ \operatorname{Hom}_{M}(V^{\mu}, V^{\mu_{\delta}})}$$

# example 1: $d\mu(Z_{\alpha}^2)$ has even eigenvalues

The operator  $R_{\mu}(s_{\alpha}, \gamma)$  acts on  $\left[\bigoplus_{n \in 2\mathbb{N}} E\left(-n^{2}\right)\right]$  by



with  $x = \langle \gamma, \check{\alpha} \rangle$ .

# example 2: $d\mu(Z_{\alpha}^2)$ has odd eigenvalues

The operator  $R_{\mu}(s_{\alpha}, \gamma)$  acts on  $\left[\bigoplus_{n \in 2\mathbb{N}+1} E\left(-n^{2}\right)\right]$  by

$$E(-1) \qquad E(-9) \qquad E(-25) \qquad E(-49)$$

$$1 \cdot s_{\alpha} \qquad \left| \begin{array}{c} \frac{2-x}{2+x} \cdot s_{\alpha} \end{array} \right| \qquad \frac{2-x}{2+x} \frac{4-x}{4+x} \cdot s_{\alpha} \qquad \left| \begin{array}{c} \frac{2-x}{2+x} \frac{4-x}{4+x} \frac{6-x}{6+x} \cdot s_{\alpha} \end{array} \right|$$

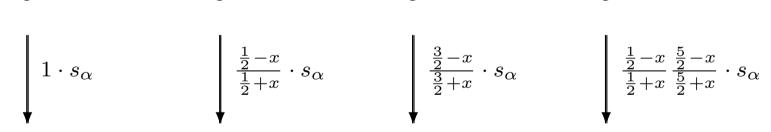
$$E(-1) E(-9) E(-25) E(-49)$$

with  $x = \langle \gamma, \check{\alpha} \rangle$ .

# example 3: $d\mu(Z_{\alpha}^2)$ has half-integers eigenvalues

The operator  $R_{\mu}(s_{\alpha}, \gamma)$  acts on  $\left[\bigoplus_{n \in \mathbb{N} + \frac{1}{2}} E\left(-n^{2}\right)\right]$  by

$$E(-\frac{1}{4}) \qquad E(-\frac{9}{4}) \qquad E(-\frac{25}{4}) \qquad E(-\frac{49}{4})$$



$$E(-\frac{1}{4})$$
  $E(-\frac{9}{4})$   $E(-\frac{25}{4})$   $E(-\frac{49}{4})$ 

with  $x = \langle \gamma, \check{\alpha} \rangle$ .

#### intertwining operator on non-spherical petite K-types

If  $\mu$  is a petite K-type, every factor  $R_{\mu}(s_{\alpha_i}, \gamma_i)$  of the intertwining operator must satisfy some conditions.

These conditions depend on whether the reflection  $s_{\alpha_i}$  stabilizes a certain M-type  $\delta_i$  in the orbit of  $\delta$ .<sup>a</sup>

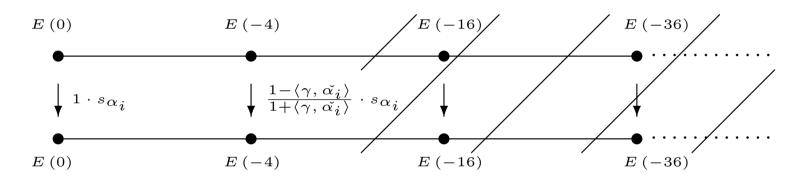
- If  $\alpha_i$  stabilizes  $\delta_i$  (i.e. it is good for  $\delta_i$ ), then  $R_{\mu}(s_{\alpha_i}, \gamma_i)$  should behave as a factor of a petite spherical intertwining operator.
- If  $\alpha_i$  does not stabilize  $\delta_i$  (i.e. it is <u>bad</u> for  $\delta_i$ ), then  $R_{\mu}(s_{\alpha_i}, \gamma_i)$  should be independent of the parameter  $\gamma_i$ .

This behavior is equivalent to some eigenvalues-restrictions.

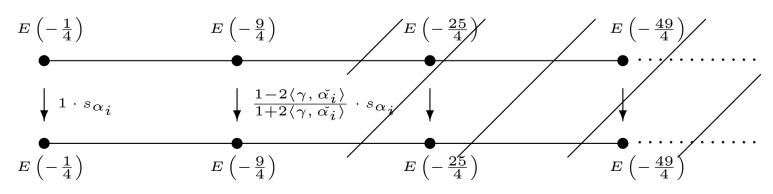
<sup>&</sup>lt;sup>a</sup>If  $\alpha_1, \alpha_2 \dots \alpha_r$  are the simple reflections involved in the decomposition, we define inductively  $\delta_1 = \delta, \delta_2 = s_{\alpha_1}(\delta_1), \dots, \delta_r = s_{\alpha_{r-1}}(\delta_{r-1}).$ 

## restrictions for $\mu$ petite and $\alpha_i$ good for $\delta_i$

Look at the eigenvalues of  $d\mu(Z_{\alpha_i}^2)$  on the  $\delta_i$ -isotypic in  $\mu$ . If the eigenvalues are of the form  $-(2n)^2$ , we only allow 0 and -4

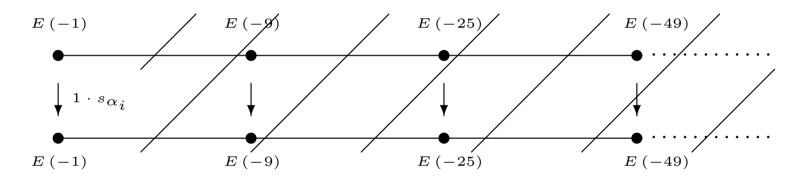


If the eigenvalues are of the form  $-\left(\frac{2n+1}{2}\right)^2$ , we only allow  $-\frac{1}{4}$ ,  $-\frac{9}{4}$ 

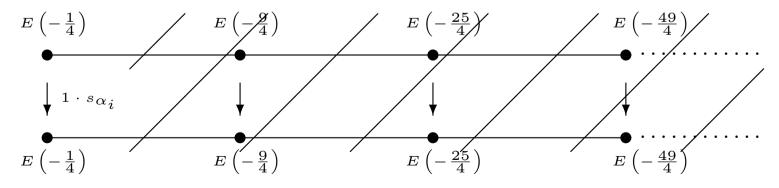


#### restrictions for $\mu$ petite and $\alpha_i$ bad for $\delta_i$

Again, look at the eigenvalues of  $d\mu(Z_{\alpha_i}^2)$  on the  $\delta_i$ -isotypic in  $\mu$ . If the eigenvalues are of the form  $-(2n+1)^2$ , we only allow -1



If the eigenvalues are of the form  $-\left(\frac{2n+1}{2}\right)^2$ , we only allow  $-\frac{1}{4}$ 



#### The Main Theorem

Let  $\mu$  be a petite K-type for  $\delta$ , i.e. assume that  $\mu$  satisfies the eigenvalues-conditions described above.

Suppose that there exists a spherical  $K_0$ -type  $\mu_0$  s.t.

- 1.  $\mu_0$  has level at most 3
- 2. as  $W(\delta)$ -representations

$$\operatorname{Hom}_{M}(V^{\mu}, V^{\delta}) = \operatorname{Hom}_{M_{0}}(V^{\mu_{0}}, V^{\delta_{0}}).$$

Then the intertwining operator for G on  $\mu$  matches an intertwining operator for  $G_0$  on  $\mu_0$ .

#### A technical remark

Let  $\mu$  be a petite K-type. The restrictions on the eigenvalues of  $d\mu(Z_{\alpha_i}^2)$  are "local" conditions: they are imposed on the isotypic of the various  $\delta_i$  in  $\mu$ , not "globally" on  $\mu$ .

It follows that, if  $\delta$  is non-trivial, we cannot identify a petite K-type for  $\delta$  just by looking at its level.<sup>a</sup> Most often, an explicit construction of the K-type is required.<sup>b</sup>

This is just one of the many complications that make the non-spherical case so much harder than the spherical one.

<sup>&</sup>lt;sup>a</sup>If  $\delta$  is trivial, every K-type of level at most 3 is petite. If  $\delta$  is non-trivial, only about a half of the K-types of level 3 turns out to be petite.

<sup>&</sup>lt;sup>b</sup>We have constructed all our petite K-types using mathematica.

## genuine petite K-types and other K-types of level $\leq 3$

K-type	mult. of $\delta_6$
(0 1,0,0)	1
(2 1,0,0)	3
(1 2,0,0)	4
(1 1,1,0)	4
(0 1,1,1)	1
(2 1,1,1)	3
(4 1,0,0)	5
(3 2,0,0)	8
(3 1,1,0)	8
(0 3,0,0)	5
(2 3,0,0)	8
(0 2,1,0)	8
(2 2,1,0)	5
(1 2,1,1)	8

K-type	mult. of $\delta_2$
(1 0,0,0)	1
(3 0,0,0)	2
(1 2,0,0)	9
(1 1,1,0)	2
(0 1,1,1)	4
(2 1,1,1)	12
(5 0,0,0)	3
(3 2,0,0)	18
(3 1,1,0)	4
(0 3,0,0)	4
(2 3,0,0)	12
(0 2,1,0)	8
(2 2,1,0)	24
(1 2,1,1)	10

## Plan of the talk

- the double cover of split  $F_4$
- the big unitarity problem
- the petit unitarity problem
- $\bullet$  an informal definition of non-spherical petite K-types
- $\bullet$  a more technical definition of petite K-types
- applications to the unitary dual

Find a good definition of  $petite\ K$ -types

 $\downarrow$ 

For each given  $\delta$ , find all the petite K-types

 $\downarrow$ 

For each  $\mu$  petite, find the representation of the stabilizer of  $\delta$  on  $\operatorname{Hom}_M(V^{\mu}, V^{\delta})$ . Guess  $\mu_0$ 

 $\downarrow$ 

Verify that the intertwining operators match

If you can match all the relevant  $K_0$ -types, deduce the existence of an inclusion of unitary duals

Otherwise, compute the intert. operator on some non-petite K-types and see what happens

example 1:  $\delta_2$ 

 $\delta_2$  is an irreducible genuine representation of M.

The stabilizer of  $\delta_2$  is the entire Weyl group  $W = W(F_4)$ . In particular, every root of  $F_4$  is good for  $\delta_2$ . This is an easy example!

We ask whether it is possible to realize all the relevant W(F4)-types using petite K-types for  $\delta_2$ .

The relevant  $W(F_4)$ -types are:  $1_1, 2_1, 2_3, 4_2, 8_1$  and  $9_1$ .

$oxed{egin{array}{ c c c c c c c c c c c c c c c c c c c$	mult. of $\delta_2$	repres. of $W(F_4)$
(1 0,0,0)	1	$1_1$
(3 0,0,0)	2	$2_3$
(1 2,0,0)	9	$9_1$
(1 1,1,0)	2	$2_1$
(0 1,1,1)	4	$4_2$
(0 3,0,0)	4	$4_3$
(0 2,1,0)	8	81
(1 2,1,1)	10	$1_2 + 9_2$

We match all of them! So there is an inclusion of unitary duals:

 $\bar{X}^G(\delta_2, \nu)$  unitary  $\Rightarrow \bar{X}^G(\text{triv}, \nu)$  unitary.

## example 2: $\delta_{12}$

Choose a set of simple roots for G (type  $F_4$ ):

$$\epsilon_1 - \epsilon_2 - \epsilon_3 - \epsilon_4$$
 $\epsilon_3 - \epsilon_4$ 
 $\epsilon_2 - \epsilon_3$ 

 $\delta_{12}$  contains 12 one-dimensional representations of M. For each of them, the stabilizer is  $W(B_3 \times A_1)$ .

Let  $\bar{\delta}_{12}$  be the character in  $\delta_{12}$  that admits

$$2\epsilon_4$$
  $\epsilon_1 - \epsilon_2 - \epsilon_3 - \epsilon_4$   $\epsilon_2 + \epsilon_3$   $\epsilon_2 - \epsilon_3$ 

as a basis for the good roots.

The following table shows that we can realize all the relevant  $W(B_3)$ -types and all the relevant  $W(A_1)$ -types using petite K-types for  $\overline{\delta}_{12}$ :

$oxed{egin{array}{ c c c c c c c c c c c c c c c c c c c$	mult. of $\delta_{12}$	repres. of $W(B_3 \times A_1)$
(1 1,0,0)	1	$(3 \times 0) \times triv$
(0 1,1,0)	1	$(3 \times 0) \times sign$
(3 1,0,0)	2	$(21 \times 0) \times triv$
(2 1,1,0)	3	$(2 \times 1) \times triv$
(2 2,0,0)	3	$(1 \times 2) \times sign$
(0 2,0,0)	1	$(0 \times 3) \times triv$

Because we can match all the relevant  $W(B_3 \times A_1)$ -types, there exists an inclusion of unitary duals:<sup>a</sup>

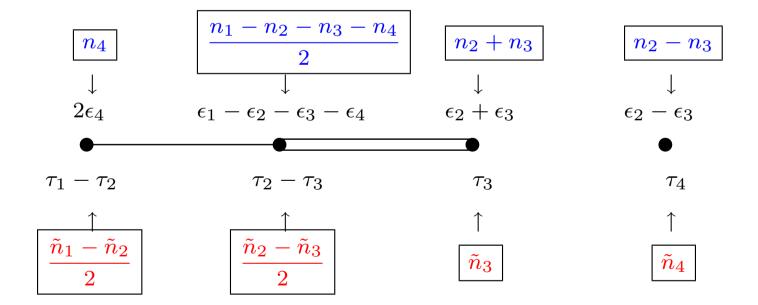
$$\bar{X}^G(\delta_{12}, \gamma)$$
 unitary  $\Rightarrow \bar{X}^{SO(3,4) \times SL(2)}(\text{triv}, \gamma_0)$  unitary

Notice that there is a shifting of parameters: if  $\gamma = (n_1, n_2, n_3, n_4)$ , then  $\gamma_0 = (n_1 + n_4, n_1 - n_4, n_2 + n_3, n_2 - n_3)$ .

 $<sup>^{\</sup>mathrm{a}}SO(3,2)\times SL(2)$  is the real split group with root system  $B_3\times A_1$ .

If  $\gamma = (n_1, n_2, n_3, n_4)$  is the parameter for  $F_4$ , let  $\gamma_0 = (\tilde{n}_1, \tilde{n}_2, \tilde{n}_3, \tilde{n}_4)$  be the corresponding parameter for  $B3 \times A1$ .

The inner product of  $\gamma$  with a basis for the good co-roots in  $F_4$  should match the inner product of  $\gamma_0$  with the simple co-roots in  $B_3 \times A_1$ :



example 3:  $\delta_6$ 

 $\delta_6$  contains three 2-dimensional irreducible representations of M. For each of them, the stabilizer of  $\delta$  is W(B4).

Let  $\bar{\delta}_6$  the irreducible component of  $\delta_6$  that admits



as a basis for the good roots.

We would like to realize all the relevant  $W(B_4)$ -types using petite K-types for  $\overline{\delta}_6$ .

The following is a *complete* list of petite K-types for  $\bar{\delta}_6$ :

$oxed{egin{array}{c} oxed{ ext{petite}} \ K ext{-type} \end{array}}$	mult. of $\bar{\delta}_6$	repres. of $W(B_4)$
(0 1,0,0)	1	$4 \times 0$
(2 1,0,0)	3	$31 \times 0$
(1 2,0,0)	4	$1 \times 3$
(1 1,1,0)	4	$3 \times 1$
(0 1,1,1)	1	$0 \times 4$
(2 1,1,1)	3	$0 \times 31$

The relevant  $W(B_4)$ -types are:

$$4 \times 0$$
  $31 \times 0$   $3 \times 1$   $2 \times 2$   $1 \times 3$   $0 \times 4$ .

We cannot match  $2 \times 2!!!$ 

The relevant  $W(B_4)$ -type  $2 \times 2$  is missing. So we cannot deduce an inclusion of unitary duals.

We only get a weaker result:<sup>a</sup>

set of unitary parameters  $\subseteq$  parameters  $\subseteq$  for  $(\bar{\delta}_6, G)$  set of unitary  $\subseteq$  non-unitarity region for  $(\bar{\delta}_6, G)$  for (triv, SP(4)) ruled out by  $2 \times 2$ 

The region ruled out by  $2 \times 2$  consists of all parameters of the form  $\gamma_0 = (a + 1/2, a - 1/2, b, 1)$  with (a, b) in the *triangle* delimited by the lines a = 1/2, b = 0 and a + b = 3/2.

aNotice that the stabilizer of  $\bar{\delta}_6$  is of type  $B_4$  but we are taking  $G_0 = SP(4)$ . Indeed,  $\bar{\delta}_6$  is genuine, so  $G_0$  must be the split group with *co-roots* of type  $B_4$ .

## example 4: $\delta_3$

 $\delta_3$  contains three 1-dimensional irreducible representations of M. For each of them, the stabilizer of  $\delta$  is W(C4).

Let  $\bar{\delta}_3$  the irreducible component of  $\delta_3$  that admits



as a basis for the good roots.

Next, we look at the *complete* list of petite K-types for  $\bar{\delta}_3$ , and we hope to realize all the relevant  $W(C_4)$ -types:  $4 \times 0 \quad 0 \times 4$   $3 \times 1 \quad \boxed{1 \times 3} \quad 2 \times 2 \quad 31 \times 0$ .

$\boxed{ \textbf{petite } K\textbf{-type} }$	mult. of $\bar{\delta}_3$	repres. of $W(C_4)$
(2 0,0,0)	1	$4 \times 0$
(4 0,0,0)	1	$0 \times 4$
(0 2,0,0)	3	$31 \times 0$
(2 2,0,0)	6	2  imes 2
(2 1,1,0)	2	$22 \times 0$
(1 3,0,0)	4	$111 \times 1$
(1 2,1,0)	8	$21 \times 1$
(1 1,1,1)	4	$3 \times 1$
(0 2,1,1)	3	$211 \times 0$
(2 2,1,1)	7	$11 \times 11 + 1111 \times 0$

We cannot match  $1 \times 3!!!$ 

The relevant  $W(C_4)$ -type  $1 \times 3$  is missing. So we cannot deduce an inclusion of unitary duals.

Just like before, we only obtain a weaker result:

set of unitary parameters  $\subseteq$  parameters  $\subseteq$  for  $(\bar{\delta}_3, G)$   $\subseteq$  for (triv, SP(4))  $\subseteq$  for (triv, SP(4)) ruled out by  $1 \times 3$ 

The region ruled out by  $1 \times 3$  is the *line segment* 

$$\gamma_0 = (3/2 + t, 1/2 + t, -1/2 + t, -3/2 + t)$$

with  $1/2 \le t \le 3/2$ .

